

BHARAT Bond Transaction Slip

Sponsor: Edelweiss Financial Services Limited | **Trustee Company:** Edelweiss Trusteeship Company Limited | **Investment Manager:** Edelweiss Asset Management Limited
 Edelweiss Mutual Fund, 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400098, Maharashtra.

| DISTRIBUTOR INFORMATION | | | | | | FOR OFFICE USE ONLY | |
|-------------------------|-----------------|-----------------|-----------------|--------|----------------------------|---------------------------|------------------------|
| Distributor Code | Sub-Broker Code | Sub-Broker Code | Employee Unique | E-Code | RIA CODE ^A | Registrar/Bank Serial No. | Date & Time of Receipt |
| ARN-4464 | ARN - | INTERNAL CODE | E032737 | | ONLY FOR DIRECT INVESTMENT | | |

*Investors should mention the EUIIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".
 Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

^AI/We, have invested in the below mentioned scheme of Edelweiss Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

| | | | | | | |
|---------------|------------------------|--|------------------|--|-----------------|--|
| SIGNATURE (s) | SOLE / FIRST APPLICANT | | SECOND APPLICANT | | THIRD APPLICANT | |
| | | | | | | |

1 UNITHOLDING OPTION

Investor Name

DEMAT ACCOUNT DETAILS - Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are mandatory.

| | | | | | | | | | | | | | | | |
|--|-----------------------------|---|---|--|--|--|--|---------------------------------------|-----------------------------|--|--|--|--|--|--|
| National Securities Depository Limited | Depository participant Name | | | | | | | Central Depository Securities Limited | Depository participant Name | | | | | | |
| | DP ID No. | I | N | | | | | | Target ID No. | | | | | | |
| | Beneficiary Account No. | | | | | | | | | | | | | | |

Enclosures (Please tick any one box) : Client Master List (CML) Transaction cum Holding Statement Cancelled Delivery Instruction Slip (DIS)

2 TRANSACTION DETAILS (Please tick either Purchase OR Redemption)

| | |
|---|---|
| Purchase : (Please tick any one) <input type="checkbox"/> Cash <input type="checkbox"/> 'in-Kind' <input type="checkbox"/> Cash & 'in-Kind' In case purchase in 'in-Kind' investor have to send the Stock/Securities details in format mentioned at the end of the form on email id ETF@edelweissfin.com | Redemption : (Please tick any one) <input type="checkbox"/> Cash <input type="checkbox"/> 'in-Kind' For Redemption the units have to be transferred to: Demat Account No. : 1301440000567506 Account Name : EDELWEISS MUTUAL FUND REDEMPTION A/C DP Name : KARVY STOCK BROKING LIMITED |
|---|---|

3 SCHEME DETAILS (For Multiple schemes fill separate form)

| Scheme Name | Amount in Figures | Amount in words | Units (For Redemption only) |
|------------------------------|-------------------|-----------------|-----------------------------|
| BHARAT Bond ETF - April 2023 | | | |
| BHARAT Bond ETF - April 2030 | | | |

4 PAYMENT DETAILS

Amount (₹) Mode of Payment : Cheque DD RTGS/NEFT Cheque/DD/UTR No.

Bank Name : A/c. Number IFSC Code

Please issue all payments to below mentioned account :

BANK DETAILS FOR FUNDS TRANSFER

| Bank | Account Name | Account No. | Type | IFSC Code | Branch |
|-------------------------|---|-------------|-------------|-------------|---------------|
| Standard Chartered Bank | Edelweiss Exchange Traded Scheme Collection A/C | 22205526035 | Current A/c | SCBL0036001 | M G Road Fort |

5 IMPORTANT POINTS

- Minimum amount for Purchase / Redemption in cash is Rs. 25 Crs. In case of purchase 'in-Kind' and Cash & 'in-kind' valuation of the securities as per yesterday's closing price and for redemption the valuation of units as per previous day's NAV should be minimum of Rs. 25 Crores.
- If investor has opted to purchase 'in-Kind' and Cash & 'in-kind' then investor has to share the list of securities in below format to ETF@edelweissfin.com

| Sr no | ISIN | ISIN Description | Face Value | Quantity | Total value | Credit Rating | Credit Agency | Shut Period (Y/N) |
|-------|------|------------------|------------|----------|-------------|---------------|---------------|-------------------|
| | | | | | | | | |
- In case purchase is done in form of 'in-Kind' AMC will screen the securities mentioned in sheet provided on email by the investor as per below eligibility criteria's and Accept/Reject the subscription request :
 - Securities should be part of the portfolio of the scheme OR Securities which are part of the index and mature within 90 days, before the maturity date of the scheme and;
 - Within maximum index weight of eligible issuer, and;
 - Should be in market lot of 5 Crore and in multiple thereof and;
 - Valuation of the securities as per yesterday's closing price should be minimum of Rs. 25 Crores.
- If funds/Securities are credited in the bank/Demat account up to 3:00 PM, units will be allotted with end of day NAV and if the credit is post 3:00 PM, next business days' end of day NAV will be applicable for allotment of units.

6 SIGNATURE/S AS PER MODE OF HOLDING IN THE FOLIO

I/We would like to invest in **BHARAT Bond ETF** subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Edelweiss Asset Management Limited (EAML) liability. I understand that the EAML may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree EAML can debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. I/We hereby confirm that I /We are not United States persons within the meaning of Regulation(s) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada. Applicable for NRI Investors: I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future) Repatriation Non Repatriation

| | | | | |
|----------------------------|------------|---|---|--|
| Date <input type="text"/> | SIGNATURES | First Unit Holder / Guardian / POA / Authorised Signatory | Second Unit Holder / Authorised Signatory | Third Unit Holder / Authorised Signatory |
| Place <input type="text"/> | | | | |