PRUDENTIAL*

ICICI Prudential Child Care Fund (Gift Plan)
An open ended fund for investment for children having lock-in for at
least 5 years or till the child attains age of majority (whichever is earlier)

Application for Minor Resident Indians and NRI Investors. Investor must read Key Information Memorandum and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK/BLUE COLOURED INK and in BLOCK LETTERS.

Application No.

ICICI Prudential Child Care Fund (Gift Plan) is suitable for investors who are seeking*: . Long Term Wealth Creation Solution Investors understand that their principal will be moderately · A diversified equity fund that aims to generate capital appreciation by investing in equity and equity related securities. M07 high risk. *Investors should consult their financial advisers if in doubt about whether the product is suitable for them SUB-BROKER ARN CODE SUB-BROKER CODE Employee Unique RIA/PMRN CODE (As allotted by ARN holder) #By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund. Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. XIII). – I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. TRANSACTION CHARGES FOR APPLICANTS THROUGH DISTRIBUTORS ONLY [Refer Instruction XIII] In case the purchase/subscription amount Rs 10,000/- or more and your Distributor has opted to receive transactions charges, the same are deductible as applicable from the purchase/subscription amount and paid the distributor. Units will be issued against the balance amount invested.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. Investor's name should be as per PAN 1. EXISTING BENEFICIARY CHILD INFORMATION If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed FOLIO No. 2. BENEFICIARY CHILD'S INFORMATION [Please Refer to Instruction No. II (b) & IV] NAME Mr. Ms. Date of Birth* PAN/PEKRN* KYC Id No.¥ Enclosed (Please ✓)§* ○ KYC Acknowledgement Letter NAME OF GUARDIAN (Name should be as per PAN) Mr. Ms. PAN/PEKRN* | 🗌 KYC Proof Attached (Mandatory) | Relationship with Minor applicant: 🔾 Natural guardian 🔾 Court appointed guardian Date of Birth Id No.4 NAME OF DONOR (If different from Parent/Legal Guardian - Name should be as per PAN) Mr. Ms. M/s MIDDLE LAST KYC Proof Attached (Mandatory) PAN/PEKRN³ KYC Id No.¥ Date of Birth If mandatory information left blank, the application is liable to be rejected. Ye Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN). 3. BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT (Please Refer to Instruction No. III) Mandatory information - If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here. Account Account Savings ○ NRE Number Name of Bank **Branch City Branch Name** Enclosed (Please 1): 9 Digit MICR code 11 Digit IFSC Code Bank Account Details Proof Provided. 4. INVESTMENT DETAILS (Refer Instruction No. IV) (For Plans & Options, please see key features) Scheme: ICICI Prudential Child Care Fund (Gift Plan) | Plan & Option: 5. PAYMENT DETAILS (Refer Instruction No. III & VII) ○ NEFT RTGS Mode of Payment O Cheque Funds Transfer Investment **DD Charges** Total ₹ A + BAmount (if applicable) Amount Cheque / BANK ☐ Same as above [Please tick (✔) if yes] Date **DD Number DETAILS:** Different from above [Please tick () if it is different from above and fill in the details below] Account Account Type Savings Current Number Name of Bank Branch **Branch City** Name Mandatory Enclosures Cheque Copy Bank Statement Banker's Attestation (Please tick (✓) if the first instalment is not through cheque) Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. Please read the instruction no. VII(e). Third Party Payment Declaration form is available in www.icicipruamc.com or ICICI Prudential Mutual Fund branch offices.

ARN-4464 E032737 VIKRAM S. BAGADTHEY

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ARN-4464 E032737 VIKRAM S. BAGADTHEY

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FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US ICICI Prudential Asset Management Company Limited

Central Service Office, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. India **TOLL FREE NUMBER** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Mutual Fund Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

ARN-4464

E032737 VIKRAM S. BAGADTHEY

PRUDENTIAL TO MUTUAL FUND

FOLIO BASED MANDATE CUM SIP REGISTRATION FORM Application No. [For investment through NACH]

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Declaration of Ultimate Beneficial Ownership [UBO]

(Mandatory for Non-individual Applicant/Investor)

To be filled in BLOCK LETTERS (Please strike off section(s) that is/are not applicable)

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Part IV: Declaration

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Trustee/Mutual Fund shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

| Authorized Sign | atories [with Company/Trus | st/Firm/Body Corporate seal] | |
|-----------------|----------------------------|------------------------------|--|
| Date:/_ | / | | |

UBO - GENERAL INFORMATION AND INSTRUCTIONS

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No.CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

1. Ultimate Beneficiary Owner [UBO]:

- A. For Investors other than individuals or trusts:
 - (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
 - more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
 - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
 - (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
 - (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

E. UBO Codes:

| UBO Code | Description |
|----------|---|
| UBO-1 | Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company |
| UBO-2 | Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership |
| UBO-3 | Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals |
| UBO-4 | Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests] |
| UBO-5 | Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above] |
| UBO-6 | The settlor(s) of the trust |
| UBO-7 | Trustee(s) of the Trust |
| UBO-8 | The Protector(s) of the Trust [if applicable]. |
| UBO-9 | The beneficiaries with 15% or more interest in the trust if they are natural person(s) |
| UBO-10 | Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership. |

For any queries/clarifications, please contact the nearest Customer/Investor Service Centres of the AMC. The list of our authorised centres is available in the section 'Contact Us' on our website www.icicipruamc.com.