## **Transaction Form for Existing Investors** Global Asset This Form is for use by existing Unitholders of all funds of HSBC Mutual Fund only Management Additional Purchase Switch Change of Contact Details Systematic Transfer Plan (STP) Share Demat Account Details Please used this form in case of: Redemption Systematic Withdrawal Plan (SWP) Broker Name & ARN code / RIA code^ Sub-broker ARN code EUIN Sub code No. CT ^ I / We hereby confirm that by mentioning RIA code, I / We authorise you to share with the SEBI Registered Investment Adviser (RIA) the details of my / our transactions in the schemes(s) of HSBC Mutual Fund. I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager/sales person of the distributor / sub broker. Sole/1st applicant / Authorised Signatory 2nd applicant / Authorised Signatory 3rd applicant / Authorised Signatory UNITHOLDER INFORMATION (Refer above note on 'Transaction Charges'. For details refer page 38 of the Common Scheme Information Document) Sole / First Unitholder's Name Mr./. Ms./ M/s Are you a resident of USA/Canada? (✓) Yes No : # (# Default) Date of Birth~‡ DDMMY Existing Folio No. Guardian / POA Second Unitholder Third Unitholder Sole / First Unitholder KYC Identification No. (KIN) <sup>‡‡</sup> PAN \*\* (Mandatory) PAN Card Copy PAN Card Copy PAN Card Copy PAN Card Copy Proof enclosed (✓) ADDITIONAL PURCHASE (Please ✓ your c ice of Scheme / Plan / Option / Sub-option) (See instruction 1-4) Scheme HSRC HSRC HSRC Other than Direct Other than Direct Other than Direct+ Direct Direct Plan Direct \*Continuing Plans only \*Continuing Plans only \*Continuing Plans only Sub-Option Growth (default) Dividend Reinvestment Growth (default) Dividend Reinvestment Growth (default) Dividend Reinvestment Dividend Payout Dividend Payout Dividend Payout Dividend Frequency Daily Weekly Monthly Quarterly Daily Weekly Monthly Quarterly Daily Weekly Monthly Quarterly Half Yearly Half Yearly Fortnightly Investment Amount (₹) (i) DD Charges (₹) Total Amount (₹) (i+ii) Mode of Payment Cheque RTGS/NEFT RTGS/NEFT RTGS/NEFT RTGS/NEFT No. Cheque/DD No. **Cheque Dated** A/c. No. NRO\* Current Savings Current Savings NRO\* NRE\* Current Savings NRO\* NRE\* A/c. Type (✓) (\* For NRI Investors) (\* For NRI Investors) (\* For NRI Investors) Others Others Drawn on Bank City Branch City City Documents attached to avoid Third Party Payment Rejection where applicable : Third Party Declarations Bank Certificate for Pre-funded Instruments MANDATORY DECLARATION: The details of the bank account provided above pertain to my/our own bank account in my/our name Yes No. If no, my relationship with the bank account holder (\*) Parent Grandparent Employee Custodian Others (Please specify); and the Third Party declaration form is attached (Refer the section on Third Party Payments in the SAI). 3 REDEMPTION (Please ✓ your choice of Scheme / Plan / Option / Sub-option) Scheme HSBC Option Regular Institutional Institutional Plus Plan Sub-Option Growth (default) Dividend Reinvestment Dividend Payout Amount (₹ in figures) Monthly Dividend Frequency Daily Weekly OR Fortnightly Quarterly No. of Units All Units Half Yearly 4 BANK DETAILS FOR THE ABOVE REDEMPTION FOR INVESTORS WHO HAVE REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY The redemption should be processed into the following registered bank account as per the payout mechanism indicated by me/us: Bank A/C No A/c. Type (✓) ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ Others Bank Name IFSC Code CHANGE OF ADDRESS / E-MAIL / CONTACT DETAILS (Address should be same as in KRA records) FOR INVESTORS WHO ARE NOT KYC COMPLAINT: (For Proof of Identity & Proof of Address: Self attested with originals produced to AMC ISC for verification (or) Self-attested along with attestation by KYD compliant distributor or a competent authority as outlined in Uniform KYC guidelines) Address is (Please ✓) : ☐ Home ☐ Office New Address for correspondence: City Pin Code Country Proof of address to be provided by Applicant: Please submit ANY ONE of the following valid documents & tick (🗸) against the document attached. 11111 Passport ☐ Ration Card ☐ Registered Lease / Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ ♣ Latest Bank Statement/Passbook ♣ Latest Telephone Bill (only Land Line) ☐ ♠ Latest Electricity Bill ☐ ♠ Latest Gas Bill ☐ Others (Please specify) ☐ (♠ Not more than 3) (\*Not more than 3 months old) Proof of Identity (Please enclose a duly attested copy of your PAN Card.) | Proof of Identity submitted for PAN exempt cases ( 🗸 ) (Refer point 9 under Instructions.) UDI (Aadhar Card) Passport Voter Identity Card Driving License Others (Please specify) Contact Details: (Where email ID and Mobile number is not provided the same will be updated from KRA records) Fax Phone O Extn. E-mail\* Yes No + I/We, wish to receive scheme wise annual report or an abridged summary thereof/account statements/statutory & other documents by email. If unticked, by default the above will be sent on email. FOR INVESTORS WHO HAVE COMPLIED KYC Please submit Change of address request in the prescribed format alongwith supporting documents as outlined under uniform KYC guidelines -----ACKNOWLEDGEMENT SLIP (To be filled by the investor) This Acknowledgement Slip is for your reference only. Information provided on the form is considered final. No. CT Scheme Name: HSBC Received from the above mentioned investor the following: Total Amount (Rs.)/ Units: Cheque No.(s) Drawn on OR Units Redemption or Switch Amount (Rs.) Additional Purchase Amount (Rs.) OR Units STP SWP Change of Address ISC Stamp, Signature & Date

Please Note: All purchase are subject to realisation of instruments. All transaction processing is subject to final verification.

## ARN-4464 E032737 VIKRAM S. BAGADTHEY

S	SYSTEMATIC W	VITHDRAWAL PLAN (SWF	<b>'</b> )			Registration	Cancellation	
_	Scheme HSBC				Plan Other	than Direct+ (+Continuing Plans		
1		Institutional Institutional Plus	Dividend Frequenc	v Daily		Quarterly Fortnightly		
		onthly (Default¶) Quarterly (10th)	Sub-Option	. — . —		_ ` `	_	
	Frequency (*) Monthly (Default*) Quarterly (10th) Sub-Option Growth (default) Dividend Reinvestment Dividend Payout  Withdrawal Options Fixed Amount Capital Appreciation (1st Business Day of the month) Withdrawal Amount (Minimum Rs. 1000 and in multiples of Re. 1/- thereafte							
	11th 12th 12th 14th 15th 16th 17th 18th 10th 20th 21tt 22nd						1 11	
	23rd 24th 25th 26th 27th 28th 29th 30th 31st							
	To be submitted 10 days prior to the SWP date in case of Registration & 14 days incase of Cancellation.							
S	SWITCH (Please fill in your choice of Scheme / Plan / Option / Sub-option) KYC MANDATORY w.e.f. January 1, 2011. Please enclose KYC acknowledgement							
S	Switch From: Scheme Name HSBC			Switch To: Scheme Name HSBC				
P	Plan Other than Direct <sup>+</sup> (*Continuing Plans only) Direct			Plan Other than Direct <sup>+</sup> (*Continuing Plans only) Direct				
0	Option Regu	Regular Institutional Institutional Plus			Sub-option Growth (default) Dividend Reinvestment Dividend Payout			
S	ub-option Grov	Growth (default) Dividend Reinvestment Dividend Payout			Dividend Frequency Daily Weekly Fortnightly			
D	<b>Dividend Frequency</b> Daily Weekly Fortnightly				Monthly Quarterly Half Yearly			
		Monthly Quarterly	Half Yearly					
	Amount (Rs. in figur	res)	OR No	. of Units		All Units		
	_ ` `					Registration	Cancellatio	
	SYSTEMATIC TRANSFER PLAN (STP)							
0	Transfer From:			Transfer To:				
	cheme Name : HSBC			Scheme Name: HSBC				
		er than Direct <sup>+</sup> (*Continuing Plans only		Plan: Other than Direct <sup>+</sup> (*Continuing Plans only) Direct				
	Option Regular Institutional Institutional Plus			Sub-option Growth (default) Dividend Reinvestment Dividend Payou				
	<b>Sub-option</b> Growth (default) Dividend Reinvestment Dividend Payout			Dividend Frequency Daily Weekly Fortnightly				
10	Dividend Frequency Daily Weekly Fortnightly			Monthly Quarterly Half Yearly				
	Monthly Quarterly Half Yearly			Transfer Options Fixed Amount Capital Appreciation (1st Business Day of the month				
S	STP Frequency (✓)			STP Date 1st 2nd 3rd 4th 5th 6th 7th 8th 9th 10th (Default')				
Ir	Installment commencing From M M Y Y Y Y To M M Y Y Y Y			11th   12th   13th   14th   15th   16th   17th   18th   19th   20th   21st   22nd   23rd   24th   25th   26th   27th   28th   29th   30th   31st				
	To be submitted 10 days prior to the STP date incase of Registration &			Transfer Amount Amount per instalment Rs.				
	14 days incase of Cancellation. (Minimum transfer amount Rs. 1000/- except HTSF. For HTSF Rs. 50						F. For HTSF Rs. 500/-	
	Please read the Key Information Memorandum for details of the applicable Plan, Sub option and Dividend Frequency of the respective schemes.							
		tioned default date would be considered a	is 10th of every month	/ quarter.				
	DEMAT ACCOUNT DETAILS							
P	Please provide details of your Depository Participant if you wish to hold units in Demat Form.							
	NSDL			CDSL				
D	DP Name							
D	OP ID	IN						
R	Beneficiary Account No							
0 C	DECLARATION AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)							
C	OTHER DECLARATIONS							
Т	The Trustees, HSBC Mutual Fund							
	Having read and understood the contents of the Scheme Information Document, Key Information Document, Statement of Additional Information and Addenda of the Scheme(s) issued till date							
I	I / We hereby apply to the Trustees of HSBC Mutual Fund for units of the relevant Scheme and agree to abide by the terms, conditions, rules and regulations of the Scheme and the							
al	above mentioned documents of HSBC Mutual Fund. I / We hereby authorise HSBC Mutual Fund, the AMC and its Agents to disclose my / our details including investment details to							
	my / our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such other							
	service providers as deemed necessary for conduct of business. I / We express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility.							
	If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold the Fund, the AMC, its service providers or representatives responsible. I / We will also inform the AMC, about any changes in my / our bank account. I / We have read and agreed to the terms and conditions for ECS / Direct Debit. I / We confirm							
	that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR							
	Account (Applicable to NRI).							
	I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Scheme(s) is through legitimate sources and							
	is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any other applicable laws or Notifications issued by any governmental or statutory authority							
	from time to time. I / We acknowledge that the AMC has not considered my / our tax position in particular and that I / we should seek tax advice on the specific tax implications arising							
01	out of my / our participation in the Scheme. I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly,							
	in making this investment. I / We confirm that the ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the							
	different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.							
	I/We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. Incase of change to this status, I/We shall notify the AMC,							
	in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). I / We confirm that primary email ID provided belongs to self or a family member.							
	We confirm that we have not issued any bearer shares or share warrants. We also confirm that we will inform the AMC if bearer shares or share warrants are issued							
St	subsequently.							
		~						
	CLON ATTURE (C)	X	×			X		
		SIGNATURE(S) ignature should be in lack or Blue ink only.						
	U					The state of the s		
В	and of Blue init only							
В	and of Diac and only	Sole / First Unitholder / Guardia	ın / PoA	Second Unith	older / PoA	Third Unitholde	er / PoA	

## INSTRUCTIONS

GENERAL: (1) If any alteration is made, then a countersign is mandatory. (2) Investors should refer to the Scheme Information Document, Statement of Additional Information, Addenda and KIM of the respective Scheme(s) carefully before filling the Application Form. (3) Please refer to the Scheme Information Document, Statement of Additional Information for cut-off timings, available product features, add-ons and dividend frequency, minimum additional purchase amounts etc., bank charges for Demand draft. The amount in words and figures on the cheque should not be in local languages. (4) In case of multiple holders, the dividend (if applicable) and redemption amount will be paid to the first holder. (5) Additional Purchases - Cheque/DD must be drawn in the name of the Scheme as applicable and crossed "Account payee only". Outstation cheques will not be accepted. (6) The AMC will not entertain any request for refund of demand draft charges. Outstation cheques / outstation demands draft will not be accepted. (7) Redemption amounts should not be less then minimum repurchase amounts. (8) Guidance for other proofs: Identity card / document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards / Debit cards issued by Banks.

Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.

Section 1 \*\* W.e.f. January 1, 2008, PAN number is Mandatory for all investors (including Joint Holders, POA holder, Guardian in case of Minor and NRIs). ## W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch). W.e.f January 1, 2012, applicants who are not KYC compliant are required to complete the uniform KYC process. W.e.f February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. ~ Transactions subject to rejection if minor has turned major and relevant documents for change in status not submitted. Refer SID/SAI for instructions related to folios held in the name of Minor. # Please note that information sought here will be obtained from KRA also. In case of any differences, the KRA input will apply.

Section 4 Important Note: The Bank account details mentioned above should be pre-registered as a valid bank mandate. If the account details are not filled above OR incorrect, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. HSBC Mutual Fund or HSBC Asset Management (India) Pvt. Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio.

FOR INVESTORS WHO HAVE NOT REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY: Please use the Multiple Bank Account Registration / Deletion Form. Important Note: If unitholder(s) provides a new and unregistered bank mandate with a specific redemption request (with or without necessary documents) such bank account will not be considered for payment of redemption proceeds. The redemption proceed shall be paid to the existing bank account registered at the time of redemption payout. HSBC Mutual Fund or HSBC Asset Management (India) Pvt. Ltd. will not be liable for any loss arising to the unitholder(s) due to credit of redemption proceeds into any of the bank accounts registered with us in the aforesaid folio. Please refer to SID / SAI for process of change of bank details along with / before redemption.