DSP MUTUAL FUND

Website: www.dspim.com E-mail: service@dspim.com Contact Centre: 1800-208-4499 / 1800-200-4499

ACKNOWLEDGEMENT SLIP Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.								
Investor Name								
Folio N	Number/s							
Contact Details PAN and KYC Updation Change in Mode of Holding Consolidation of Folios PoA Registration DTP - Cancellation Nomination Details Change of Tax Status								
	ISC Stamp & Signature							

NON-FINANCIAL TRANSACTIONS

ARN-4464

E032737 VIKRAM S. BAGADTHEY

COMMON TRANSACTION FORM

 $\underline{DSP}_{\text{mutual fund}}$

(For Existing Investors only)

NON-FINANCIAL

Please fill only in the space provided. Any additional details/notings/instructio hose provided at a non designated area of the form may not be executed. K trike off the unused Sections of the Form to avoid misuse.		Name and ARN/Code	Broker Code	RM Internal Code	Employee Unique ID. No. (EUIN) (Refer note below)	
Existing Folio Number/s						
	1					
	1		1			
Name of Sole / First Unitholder (Leave space between first / middle / last na	ame) Mr.	☐ Ms. ☐ M/s. ☐ Others				
CONTACT DETAILS (Refer Instructions overleaf)						
E-Mail		PoA (Power of Attorn	ey) REGISTRA	HON DETAILS (Re	fer Instructions overleaf)	
IN CAPITAL)		PoA holder				
		PAN of the PoA holder		_	KYC Letter (Mandatory) Notarized copy of PoA	
Mobile		CANCELLATION FOR	M FOR DIVIDE	ND TRANSFER PI	AN (DTP)	
el. (Off.) STD Code Also attach ☐ Self-attested	DAN	CANCELLATION FORM FOR DIVIDEND TRANSFER PLAN (DTP) (Please allow 7 days for DTP Cancellation)				
el. (Resi.) STD Code Other identity	Source Scheme / Plan / Option (From where Dividend is transferred)					
for PEKRAN fo	Olios	DSP -				
PAN AND KYC UPDATION KYC	LETTER	New Dividend Sub option	n 🗆 Dividend	Payout 🗆 Dividend	d Reinvestment (default)	
ole/ First Applicant/ Guardian	Attached	DECLARATION & SIGN	NATURES			
econd Applicant/ Guardian	Attached	_			Information Document and andum and Instructions. I /	
		We, hereby apply to the	Trustee of DSP	Mutual Fund for Un	nits of the relevant Scheme	
hird Applicant/ Guardian	Attached			·	egulations of the Scheme. I amounts to my/our credits	
		in the event of my/our d	eath and have	read the instruction	s for nomination. Signature	
CHANGE IN MODE OF HOLDING	o = !! \		J J ,	•	constitute full discharge of unt invested in the Scheme	
(All Joint Holders should sign, even in case of "Any one or Survivo	or")	is through legitimate sour	rces only and is	not designed for th	e purpose of contravention	
New Mode of Holding (please tick√) ☐ Anyone or Survivor ☐ Join	nt Holding	or evasion of any Act, Re laws enacted by the Gove	•		ons or any other applicable Authority.	
CONSOLIDATION OF FOLIOS (All Joint Holders should sign, even in case of "Any one or Survivo	or")					
olios to be consolidated (Mention all source folios i.e. the folios to be consolidated, her						
1. 4.						
2. 5.						
3. 6.		Sole / First Holder	Seco	ond Holder	Third Holder	
Farget Folio No. for consolidation:						
arger rollo no, for consolidation,		(To be signed as per Mode of Holding)				



COMMON TRANSACTION FORM

NON-FINANCIAL

(For Existing Investors only)

Folio Number/s /			1			1		
			1			1		
Name of Sole / First Unitholder (Leave space between fir	st / middle /	last name)□ Mr.	☐ Ms. ☐ M/s	. Others				
NOMINATION DETAILS (All Joint Holders should sign, even in case of	"Any one o	r Survivor")	CHANGE	OF TAX STATU	JS		Select any one ☑	
☐ I/We wish to nominate ☐ I/We DO NOT wish to no		,	Existi	ng Status	New Status		Bank Account Type - New	
I/We do hereby nominate the person/s more particularly des the nomination made by me/us earlier in respect of Units			1 🗌 R	sident Individual	☐ NRI - NRO		☐ NRO	
the nonlination made by me/us eartier in respect of onits		Signature	2 🗌 NI	RI - NRO	Resident		☐ Savings	
Newton of News	Allocation %	(Nominee/Guardian)	3 🗌 NI	RI - NRE	□ NRI - NRO □	Resident	☐ NRO ☐ Savings	
Nominee 1 Name :			NEW BAN	IK DETAILS:				
				Account No				
Relationship with applicant:			Bank Nar	ne			_	
			IFSC					
			Branch C	•				
Date of Birth DDJ/MMJ/YYYY			☐ Origii printed).	nal Cancelled Cheo If photocopy is pr	que of new bank accou ovided, please produce	nt (with na e originals f	me & account number pre- or verification.	
Guardian Name (if minor) :			Note:- Statu	s cannot be changed	to NRE if investment is done	through a SE	NRO account.	
			FATCA D	ETAILS: (Manda	atory)			
Nominee 2 Name :			Place & 0	Country of Birth	PLACE		COUNTRY	
			Nationali #Dlesse in			Other	a a resident for the number	
					ation Number and it's lo		re a resident for tax purpose, a type eg. TIN etc.	
Relationship with applicant:	*If TIN is not available or mentioned, please mention reason as: 'A' if the country does not iss TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorit of the country of tax residence entered above do not require the TIN to be disclosed.							
	-		Country*	•	Tax Identification Nu	mber	Identification Type/Reason*	
Date of Birth D D / M M / Y Y Y Y								
Guardian Name (if minor) :								
Nominee 3 Name :			DECLAR	ATION & SIGNA	TURES			
Nominee 3 Name .			Having read	and understood the	contents of the Scheme Info		ument and Statement of Additional	
			Mutual Fund	for Units of the rela	evant Scheme and agree to	n ahide hy t	ereby apply to the Trustee of DSP ne terms and conditions, rules and	
Relationship with applicant:			our credits	n the event of my/c	ur death and have read th	ne instructior	to receive all the amounts to my/ is for nomination. Signature of the	
			Fund. I / We	declare that the an	nount invested in the Scher	ne is through	scharge of liabilities of DSP Mutual legitimate sources only and is not	
	-				avention or evasion of any d by the Government of Inc		on, Rule, Notification, Directions or atutory Authority.	
Date of Birth DDD/MMM/YYYYY	_							
Guardian Name (if minor) :								
Total	100%		Sole	/ First Holder	Second Hold	ler	Third Holder	
		(To be signed as per Mode of Holding. However for nomination, all Joint Holders should sign.)						

INSTRUCTIONS

■ CONTACT DETAILS: Applicants should provide contact information such as email id, mobile telephone number/s and correspondence address. However, the fund reserves the right to update communication postal address from KYC records of on SEBI designated KYC Registration Authority (KRA).

Investors should ensure that, the email id, mobile number provided in the application form should be there own or any of the immediate family member and should be same as the ones provided in the KRA. Where the email id, mobile number are not provided or where provided but the same is found to be invalid, or seems to be not pertaining to the investor or any of the immediate family member or is of a distributor or any other agency, then AMC/RTA reserves the right to remove the email id, mobile number without any notice and the email id, mobile number as per KRA records may be updated in the folio.

Investors wanting to change their email id, mobile number $\mbox{\ensuremath{\mathfrak{t}}}$ address have to approach the KRA.

- KYC COMPLIANCE: Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please log on to www.dspim.com/www.cvlindia.com/www.amfiindia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if KYC compliance, as required is not complied with by all the unit holders.
- CHANGE IN MODE OF HOLDING: Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/operation will not be honoured by the fund. Further, all unit holders need to sign the request irrespective of the Mode of holding.
- CONSOLIDATION OF FOLIOS: Consolidation of various folios can take place only if a. Names of unit holders, b. Order of unit holders and c. Tax status is identical in all the mentioned folios. By requesting for consolidation of folios, the unit holder/s agree that the mode of holding, bank mandate, address and nomination details in the Target Folio will be applicable and will prevail after consolidation even if they were different details in source folios.
- POA REGISTRATION: Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents.
- NOMINATION DETAILS: The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Nonindividuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination facility is also not available for investments held on behalf of minor. If the units are held jointly, all joint holders will have to sign the Nomination Form, even if the mode of holding is "Either or Survivor". A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder, A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. The cancellation of the nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Investors who desire to make their nomination in favour of more than one and unto three individuals may do so by writing to the AMC/Registrar. specifying clearly the percentage to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees. The rights in the units will vest in the nominee only upon the death of all unitholders. A new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination. Applicants who do not wish to nominate, must at the designate space confirm their intention on not to nominate, failing which the form may be rejected at the discretion of the AMC/Fund.