

# Dividend Transfer Plan (DTP) - Enrolment Form

(Please refer Product labeling available on cover page of the KIM and terms and conditions overleaf)

Enrolment Form No.

The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box wherever applicable and strike off the section(s) not in use.

ARN	Employee Unique Identification Number	Branch D.P. Code (Please write your 4 digit Branch D.P. Code here)				Investment Officer/Lead Generator Code (Please write your 6 digit Staff Code here)			
ARN-4464	E032737								

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 28):** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

⊗ Signature of 1st Applicant/Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant
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Date

I/We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms & conditions mentioned overleaf of Dividend Transfer Plan and of the relevant Scheme(s) and hereby apply for enrolment under the DTP of the following Scheme(s)/Plan(s)/Option(s) (New Registration).

Please fill up items appearing under (A) and (B) below. Please (✓) any one only

- I/We hereby apply for enrolment under the DTP of the following Scheme(s)/Plan(s)/Option(s) and agree to abide by the terms and conditions of the respective Scheme(s)/Plan(s)/Option(s). (**New Registration**). I/We hereby agree that if the dividend in the Source Scheme is less than Rs.500/- either paid out or reinvested as per dividend option originally selected in source scheme.
- I/We hereby apply for cancellation of Enrolment of DTP under the following Scheme(s)/Plan(s)/Option(s). (**Cancellation**). Please fill up items appearing under (A) [Except PAN details] and (C).

(A) Name of the Applicant		KYC is Mandatory # Please (✓)
First/Sole Applicant	PAN # or PEKRN #	Proof Attached <input type="checkbox"/>
Guardian (in case First/Sole Applicant is a minor)	PAN # or PEKRN #	Proof Attached <input type="checkbox"/>
Second Applicant	PAN # or PEKRN #	Proof Attached <input type="checkbox"/>
Third Applicant	PAN # or PEKRN #	Proof Attached <input type="checkbox"/>

# Please attach proof. If PAN/PEKRN/KYC is already validated, please don't attach any proof. Refer Item No. 13 and 14.

(B) Particulars (If your investment is to or from Direct Plan of the Scheme(s), please mention so clearly.)	
1. Folio No. of 'Source' Scheme/Plan/Option (for existing Unit holder)/ Application No. (for new investor)	
2. Name of 'Source' Scheme/Plan/Option	
3. Name of 'Target' Scheme/Plan/Option	

(C) CANCELLATION OF DTP	
Folio No. of 'Source' Scheme/Plan/Option	
Name of 'Source' Scheme/Plan/Option	
Dividend Payment Details (Refer Item No. 12 overleaf)	<input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Re-investment

In case of insufficient space, please fill up separate Enrolment Forms.

SIGNATURE(S)	_____	_____	_____
	First/Sole Unit holder/Guardian	Second Unit holder	Third Unit holder
	Please note : Signature(s) should be as it appears on the Application Form and in the same order In case the mode of holding is joint, all Unit holders are required to sign.		

## ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

### Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd.  
Construction House, 4<sup>th</sup> Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai - 400 001.

Enrolment  
Form No.

Date :

Received from Mr./Ms./M/s. \_\_\_\_\_ 'DTP' application for transfer and investment      ISC Stamp & Signature  
of Dividend; from Scheme/Plan/Option \_\_\_\_\_  
to Scheme/Plan/Option \_\_\_\_\_

## TERMS & CONDITIONS FOR DIVIDEND TRANSFER PLAN

- Dividend Transfer Plan (DTP) is a facility wherein eligible unit holders under Dividend Option of schemes all existing open & close ended scheme(s) (Source scheme(s)) of Canara Robeco Mutual Fund (CRMF) can opt to automatically invest the dividend (as reduced by the amount of applicable statutory levy) as may be declared by the Source Scheme(s) from time to time into any investment option (other than daily dividend reinvestment option, weekly dividend option) of other eligible schemes\* (Target Schemes) of CRMF.  
\*Under the DTP facility, investors cannot transfer their dividends into close ended schemes, ETFs & ELSS scheme(s) of CRMF.  
~ The DTP Facility will be available only to unit holder(s) holding units in non-demat form under Dividend option of the source scheme(s) (other than daily dividend reinvestment option, weekly dividend option).
- DTP facility is available to unit holder(s) only under the Dividend Plan/Option of the Source Scheme(s). However, the DTP facility will not be available to unit holder(s) under the Daily Dividend Option in the Source Scheme(s). Unit holder(s)' enrolment under the DTP facility will automatically override any previous instructions for 'Dividend Payout' or 'Dividend Reinvestment' facility in the Source Scheme.
- The enrolment for DTP facility should be for all units under the respective Dividend Plan/Option of the Source Scheme. Instructions for part Dividend Transfer and part Dividend Payout/Reinvestment will not be accepted. The dividend amount will be invested in the Target Scheme under the same folio. Accordingly, the unit holder(s) details and mode of holding in the Target Scheme will be same as in the Source Scheme.
- The enrolment to avail of DTP facility has to be specified for each Scheme/Plan/Option separately and not at the folio level.
- Under DTP, dividend declared (as reduced by the amount of applicable statutory levy) in the Source scheme (subject to minimum of Rs. 500/-) will be automatically invested into the Target Scheme, as opted by the unit holder, on the immediate next Business Day after the Record Date at the applicable NAV of the Target Scheme, subject to applicable load as specified under point 8 below and accordingly equivalent units will be allotted in the Target Scheme, subject to the terms and conditions of the respective Target Scheme. For example: If the Dividend Record Date of the Source Scheme is June 26 (Friday) and the next Business Day of the Target Scheme (non-Liquid scheme) is June 29 (Monday), the unit holder will be allotted units in the Target Scheme at the closing NAV of June 29 (Monday).
- The provision for '**Minimum Application Amount**' specified in the respective Target Scheme's Scheme Information Document will not be applicable under DTP. e.g. the minimum application amount for new investors in Canara Robeco Emerging Equity Fund - Growth Plan is Rs.5,000/-. However in case of DTP, a Unit Holder can avail of the facility irrespective of the amount of dividend (subject to a minimum of Rs.500/-).
- The Minimum amount of dividend eligible for transfer under Dividend Transfer Plan is Rs.500/- (Rupees Five Hundred Only). If the dividend in the Source Scheme is less than Rs.500/- then the dividend will be either paid out or reinvested depending on the dividend option originally selected in the Source Scheme prior to availing the DTP Facility.
- Load Structure (Target Scheme):**  
Entry Load - Nil  
Exit Load - Nil i.e., no exit load would be applicable in case of redemption of units allotted as a result of DTP.
- Unitholders who wish to enroll for DTP facility are required to fill DTP Enrolment Form available with the ISCs, distributors/agents and also displayed on the website [www.canararobeco.com](http://www.canararobeco.com). The DTP Enrolment Form should be completed in English in Block Letters only. Please tick ( ) in the appropriate box ( ), where boxes have been provided. The DTP Enrolment Form complete in all respects should be submitted at any of the Official Points of Acceptance of Canara Robeco Mutual Fund.
- The request for enrolment for DTP must be submitted at least 07 days prior to the Record Date for the dividend. In case of the condition not being met, the enrolment would be considered valid from the immediately succeeding Record Date of the dividend, provided the difference between the date of receipt of a valid application for enrolment under DTP and the next Record Date for dividend is not less than 07 days.
- Unitholder(s) are advised to read the Scheme Information Documents of Target Scheme(s) carefully before investing. The Scheme Information Documents/Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs of Canara Robeco Mutual Fund, brokers/distributors and also displayed on the Canara Robeco Mutual Fund website i.e. - [www.canararobeco.com](http://www.canararobeco.com)
- The DTP facility will be terminated in the event of following incidents:
  - The unit holding under the scheme becomes nil.
  - In the case of death of the first unit holder
  - Unit holders will have the right to discontinue the DTP facility at any time by sending a written request to the ISC. Notice of such discontinuance should be received at least 07 days prior to the Dividend Record Date. On receipt of such request, the DTP facility will be terminated. At the time of discontinuation of DTP facility, the Unit holders should indicate their choice of option i.e. dividend reinvestment or dividend payout. In the event the Unitholder does not indicate his choice of dividend option, the dividend, if any, will be reinvested (compulsory payout if dividend reinvestment option is not available) in the Source Scheme. Once the request for DTP is registered, then it shall remain in force unless it is terminated as aforesaid.
- Permanent Account Number (PAN)**  
SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) {Except as mentioned below} irrespective of the amount of investment. Where the applicant is a minor and does not possess his/her own PAN, he/she shall quote the PAN of his/her father or mother or the guardian, as the case may be. Applications not complying with the above requirement may not be accepted/processed.  
PAN card copy is not required separately if KYC acknowledgement letter is made available. For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website [www.canararobeco.com](http://www.canararobeco.com)  
**PAN Exempt Investments**  
PAN Exempt KYC Reference Number (PEKRN) holders may enroll for this facility. For further details on PAN exempt Investments, refer Instructions of Scheme Application Form or Statement of Additional Information. However, if the amount per transfer is Rs.50,000/- or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.
- Know Your Customer (KYC) Compliance**  
Investors should note that it is mandatory for all registrations for DTP to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter. For more details, please refer to the Statement of Additional Information ('SAI') available on our website [www.canararobeco.com](http://www.canararobeco.com).
- Investment through Distributors**  
Distributors/Agents are not entitled to distribute units of mutual funds unless they are registered with Association of Mutual Funds in India (AMFI). Every employee/relationship manager/sales person of the distributor of mutual fund products to quote the Employee Unique Identification Number (EUIIN) obtained by him/her from AMFI in the Application Form. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor, please ensure that the EUIIN is correctly filled up in the Application Form. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIIN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form. For further details on EUIIN, you may kindly refer to the instructions of the Scheme Application Form or Statement of Additional Information. These requirements do not apply to Overseas Distributors.  
**New cadre distributors:** New cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible schemes are available on [www.canararobeco.com](http://www.canararobeco.com)). They also hold a EUIIN which must be quoted in the application form. In case your application through such distributor is not for an eligible scheme, it is liable to be rejected.  
**Direct Investments**  
Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.
- Canara Robeco Mutual Fund/Canara Robeco Asset Management Company Limited reserve the right to change/modify the terms and conditions of the DTP. For the updated terms and conditions of DTP, contact the nearest ISC or visit our website [www.canararobeco.com](http://www.canararobeco.com)

### R & T AGENT

M/s. KARVY COMPUTERSHARE PVT. LTD.

Unit: Canara Robeco Mutual Fund, Karvy Selenium, Tower B, Plot No. 31 & 32,  
Gachibowli Financial District, Nanakramguda, Serilingampally, Hyderabad - 500 032.  
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