

# Dividend Transfer Plan (DTP) Enrolment Form



Please use separate form for each Scheme/Plan/Option

*For office use only*

AGENT's Name and ARN	Sub Broker Code	MO Code
ARN-4464 E032737		

IH No.	Reporting Branch Name

**Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.**

Date \_\_\_\_\_

I/We have read and understood the contents of the Scheme Information Document (SID) and Key Information Memorandum (KIM), addenda issued till date of the source scheme as well as destination scheme and the terms/conditions overleaf. I/We hereby apply for enrolment under DTP and agree to abide by the terms and conditions of DTP. I / We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements.

FROM		TO	
Folio No		Scheme Name	
Scheme Name		Plan	
Dividend Plan - Option		Option	

Name of the First / Sole Applicant

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Name of second/Guardian (in the case of first/sole applicant is minor)

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Name of the Third Applicant

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If you wish to receive the following via e-mail Please (✓)

- Account Statement    
  Annual Report    
  Transaction Confirmation    
  Communication of change of address, bank details etc.

I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments

**The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to me/us.**

\*I/We confirm that we are Non-residents of Indian Nationality/Origin and that the funds are remitted from abroad through banking channels or from my/our NRE/NRO Account. I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund.

\* Applicable to NRIs

Holders	Signature	PAN Number#	Email ID / Mobile
1st holder/Guardian			
2nd Holder			
3rd Holder			

# Mandatory as per SEBI guidelines

### Acknowledgement of DTP Application Form (To be filled in by the Unit holder)



(for existing unitholder) Folio No. \_\_\_\_\_

Received from Mr./Miss/Mrs : \_\_\_\_\_ DTP application.

From Scheme / Plan \_\_\_\_\_

to Scheme/Plan/Option \_\_\_\_\_

## FEATURES AND TERMS & CONDITIONS OF DIVIDEND TRANSFER PLAN

1. DTP facility is available to unit holder(s) only under all Dividend Plan / Option except Daily Dividend Plan / Options of the Source Scheme(s). Unit holder(s)' enrolment under the DTP facility will automatically override any previous instructions for 'Dividend Payout' or 'Dividend Reinvestment' facility in the Source Scheme. Target Scheme can be any plan/option as mentioned under the Scheme Information Document (SID) of respective schemes.
2. The enrolment for DTP facility should be for all units under the respective Dividend Plan / Option of the Source Scheme. Instructions for part Dividend Transfer and part Dividend Payout / Reinvestment will not be accepted. The dividend amount will be invested in the Target Scheme under the same folio. Accordingly, the unit holder(s) details and mode of holding in the Target Scheme will be same as in the Source Scheme.
3. Under DTP, dividend declared (as reduced by the amount of applicable statutory levy) in the Source scheme (subject to minimum of Rs.1,000/-) will be automatically invested into the Target Scheme, as opted by the unit holder, on the immediate next Business Day after the Record Date at the applicable NAV of the Target Scheme, subject to applicable load and accordingly equivalent units will be allotted in the Target Scheme, subject to the terms and conditions of the respective Target Scheme.  
For example: If the Dividend Record Date of the Source Scheme is January 22 (Friday) and the next Business Day of the Target Scheme (non-Liquid scheme) is January 25 (Monday), the unit holder will be allotted units in the Target Scheme at the closing NAV of January 25 (Monday).
4. The provision for 'Minimum Application Amount' specified in the respective Target Scheme's Scheme Information Document will not be applicable under DTP.
5. The Minimum amount of dividend eligible for transfer under Dividend Transfer Plan is Rs.1, 000/- (Rupees One Thousand Only). In case DTP cannot be effected due to amount being less than minimum prescribed, then the dividend will need to be paid out / reinvested as per the existing option of the investor.
6. Load Structure (Target Scheme): The dividend amount to be invested under the DTP from the Source Scheme to the Target Scheme shall be invested by subscribing to the units of the Target Scheme at applicable NAV, subject to payment of Entry/Exit Load as under:

Entry Load: Nil

Exit Load: Nil

In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

No entry load shall be charged for direct applications received by the Asset Management Company (AMC) i.e. applications received through internet, submitted to AMC or collection centre that are not routed through any distributor/agent/broker. No entry load shall also be charged for additional purchases done directly by the investor under the same folio and switch-in to a scheme from other schemes if such a transaction is done directly by the investor.

Wherever the ARN/Code of a broker/IFA/sub-broker/distributor given in the application form has to be struck off, it has to be counter signed by the first applicant. In case the first applicant does not counter sign it the application will not be treated as a direct application.

For direct applications, the area for providing ARN/ Code of a broker/ IFA/subbroker/ distributor should not be left blank. It should be marked "Direct" or "Not Applicable".

All Official Points of Acceptance will be available on the website of UTI Mutual Fund [www.uti-mf.com](http://www.uti-mf.com).

No entry and exit load will be charged on Bonus Units issued and on Units allotted on Re-investment of Dividend.

7. Unit holders who wish to enroll for DTP facility are required to fill DTP Enrolment Form available with the nearest UFCs / and also displayed on the website [www.uti-mf.com](http://www.uti-mf.com).
8. The request for enrolment for DTP must be submitted at least 15 days prior to the Record Date for the dividend. In case of the condition not being met, the enrolment would be considered valid from the immediately succeeding Record Date of the dividend, provided the difference between the date of receipt of a valid application for enrolment under DTP and the next Record Date for dividend is not less than 10 days.
9. The DTP facility will be terminated in the event of following events:
  - The unit holding under the scheme becomes nil.
  - In the case of death of the first unit holder
  - If the unit holder wishes to terminate at any time by sending a written request to official point of acceptance. The request will be acted upon not later than 15 days after receipt of the letter.

### GENERAL INSTRUCTIONS

- (i) The name of unitholders (1st, 2nd & 3rd) and their order should be identically mentioned in the source scheme application form as well as DTP enrolment form.
- (ii) New Investor desiring to opt for DTP facility is required to fill in source scheme application form as well as DTP enrolment form. The enrolment to avail the facility has to be specified for each scheme / Plan / Option separately and not at the folio level.
- (iii) UTI Asset Management Co. Ltd. reserves the right to reject DTP mandate without assigning any reasons thereof.
- (iv) UTI AMC reserves the right to change / modify the terms & conditions of DTP facility at any time.
- (v) Account Statement for the destination Scheme to be sent only when dividend is transferred to the destination scheme. Account Statement in Source Scheme will be sent as per the existing policy for Account Statement under Dividend.

### LIST OF SCHEMES WHERE DTP FACILITY IS AVAILABLE

Source Scheme: UTI-Balanced Fund, UTI-Banking Sector Fund, UTI-Contra Fund, UTI-Dividend Yield Fund, UTI-Energy Fund, UTI-Equity Fund, UTI-Infrastructure Fund, UTI-Leadership Equity Fund, UTI-Master Plus Unit Scheme, UTI-Mastershare Unit Scheme, UTI-Master Value Fund, UTI-Mid Cap Fund, UTI-MNC Fund, UTI-Opportunities Fund, UTI-Pharma & Healthcare Fund, UTI-Services Industries Fund, UTI-Top 100 Fund, UTI-Transportation & Logistics Fund, UTI-Wealth Builder Fund – Series II, UTI-Bond Fund, UTI-Floating Rate Fund – Short Term Plan, UTI-Gilt Advantage Fund, UTI-G-Sec Investment Plan, UTI-G-Sec Short Term Plan, UTI-Liquid Cash Plan, UTI-Short Term Income Fund, UTI-Treasury Advantage Fund, UTI-Mahila Unit Scheme, UTI-MIS-Advantage Plan, UTI-Money Market Fund, UTI-Monthly Income Scheme, UTI-Unit Scheme for Charitable & Religious Trusts & Registered Societies, UTI-Variable Investment Scheme, UTI CCP Advantage Fund, UTI – Equity Tax Savings Plan\* UTI Spread Fund (subject to sales being open)

Target Scheme: All source scheme and UTI-Master Index Fund and UTI-Nifty Index Fund.

\*an open-end equity scheme with lock in period of 3 years for each investment

### ADDRESS OF REGISTRAR

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