

**DIVIDEND SWEEP OPTION FORM**



Application No. \_\_\_\_\_

Date

Distributor ARN	Sub-Distributor ARN	Sol ID / Internal Sub-Broker	Employee Code	EUIN	Serial No. Date & Time, Stamp
ARN-4464	ARN			E032737	

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian / POA	Second Applicant	Third Applicant
--------------------------------------------	------------------	-----------------

Folio No.

Name

"I/We hereby apply for enrolment under Dividend Sweep Option (DSO) and agree to abide by the terms and conditions of DSO".

From Scheme <input type="text"/>	Plan <input type="text"/>	Option <input type="text"/>
To Scheme <input type="text"/>	Plan <input type="text"/>	Option <input type="text"/>

**SIGNATURE**

Having read and understood the content of the SID / SAI of the scheme, I/We hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/We hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/us. I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I/We confirm that I am/we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.

First / Sole Applicant / Guardian / POA	Second Applicant	Third Applicant
--------------------------------------------	------------------	-----------------

**FEATURES AND TERMS OF DIVIDEND SWEEP OPTION FACILITY**

- Dividend Sweep Option (DSO) is available to unit holder(s) only under Dividend Plan / Option except Daily Dividend Plan / Options of the Source Scheme(s). Unit holder(s) registration under the DSO will override any previous instructions for 'Dividend Payout' or 'Dividend Reinvestment' facility in the Source Scheme. Target Scheme can be any plan/option as mentioned under the Scheme Information Document (SID) of respective schemes.
- The registration for DSO facility should be for all units under the respective Dividend Plan / Option of the Source Scheme. Partial Dividend Sweep not allowed.
- All Indiabulls Mutual Fund schemes are eligible for Dividend Sweep Option (DSO)
- The Minimum Amount of dividend eligible for Dividend Sweep Option (DSO) is ₹500/-. In case Dividend Sweep amount being less than eligible amount, then the dividend will be reinvested in source scheme/Pay out as per the existing option.
- The minimum purchase amount criteria in the respective Target Scheme's will not be applicable under DSO.
- The dividend amount to be invested under the DSO from the Source Scheme to the Target Scheme shall be invested by subscribing to the units of the Target Scheme at applicable NAV, subject to payment of Entry/Exit Load as applicable.
- The Unit holders who wish to enroll for DSO are required to fill and submit the request form available with the nearest ISCs / and also displayed on the website www.indiabullsamc.com. Please use separate forms for each Folio/Scheme/Option.
- New Investor who wishes to opt for DSO is required to fill in source scheme application form as well as DSO enrolment form.
- The DSO will be terminated if the unit holding under the source scheme becomes nil /units are pledged/lien or on receipt of request for transmission.
- The requests for registration/deactivation of DSO must be submitted at least 10 days prior to the Record Date of the dividend. In case condition not being met, the enrolment would be considered valid from the immediately succeeding Record Date of the dividend.
- If investor has not provided option / plan for the Target Scheme, then default option as per set parameters of the Target Scheme shall be considered.
- Indiabulls Asset Management Company Limited reserves the right to reject DSO request without assigning any reasons there and also reserves the right to change / modify the terms & conditions of DSO at any time.

**INDIABULLS MUTUAL FUND - ACKNOWLEDGMENT (To be filled by the investor)**

Folio No.

From Scheme <input type="text"/>	(Scheme Name)	Stamp & Signature
Plan <input type="text"/>	Option <input type="text"/>	
To Scheme <input type="text"/>	(Scheme Name)	
Plan <input type="text"/>	Option <input type="text"/>	