Mahindra MUTUAL FUND

ARN-4464 E032737 VIKRAM S. BAGADTHEY

FATCA-CRS Declaration & Supplementary Information

Declaration Form for Individuals

(Please consult a tax professional for further guidance regarding your tax residency for FATCA & CRS compliance)

Name	Mr.	Ms.	M/s.	

PAN											**OR PAN Exempt KYC Ref No. (PEKRN)**

Place of Birth		**Country of Birth**	
Nationality ☐ Indian ☐ U.S. ☐ Others (Please specify_____)		Tax Residence Address [for KYC address] ☐ Residential ☐ Registered Office ☐ Business	

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ➔ Yes ☐ No ☐

If 'No' please proceed for the signature of declaration

If 'YES', please fill for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card Holder / Tax Resident in the Respective countries.

Sr. No.	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or others, please specify)	If TIN is not available, please tick ☑ the reason A, B or C [as defined below]
1				Reason ➔ ☐ A ☐ B ☐ C
2				Reason ➔ ☐ A ☐ B ☐ C

Reason A ➔ *The country where the Account Holder is liable to pay tax does not issue Tax identification Numbers to its residents.*
Reason B ➔ *No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected).*
Reason C ➔ *Others; please state the reason thereof*_____

Declaration:

I hereby confirm that the information provided here in above is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary or by domestic or overseas regulators / tax authorities.

Date:

Place: Signature:

FATCA & CRS Terms & Conditions

(Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

Details under FATCA& CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e.,within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Mahindra AMC or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents asmentioned below:

FATCA & CRS Indicia observed (ticked)	**Documentation required for Cure of FATCA/ CRS indicia**
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor its resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); **AND** 3. Any one of the following documents: (1) Certified Copy of "Certificate of Loss of Nationality" **OR** (2) Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; **OR** (3) Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	(1) Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **AND** (2) Documentary evidence (refer list below)
Telephone number in a country other than India	**If no Indian telephone number is provided** (1) Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **AND** (2) Documentary evidence (refer list below) **If Indian telephone number is provided along with a foreign country telephone number** (1) Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; **OR** (2) Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:
(a) Certificate of residence issued by an authorized government body*, (b) Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*** Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**