

# FATCA, CRS AND ADDITIONAL KYC

## DETAILS AND DECLARATION FORM

**Sponsor:** Edelweiss Financial Services Limited. **Trustee Company:** Edelweiss Trusteeship Company Limited. **Investment Manager:** Edelweiss Asset Management Limited. Tower 3, Wing B, Ground Floor, Kohinoor City Mall, Kohinoor City, Kiro Road, Kurla (W), Mumbai - 400070. **Website:** www.edelweissmf.com

For Investors using OLD Application Forms / Transaction Forms / Slips which do not contain new requirement on FATCA, CRS and KYC details. Non Individual Investors have to additionally submit separate FATCA Details and UBO forms. Please refer to instructions, terms and conditions in updated KIM/Scheme related documents available on www.edelweissmf.com

### SOLE / FIRST INVESTOR DETAILS

Name  Application No.   
 PAN  Folios Nos.

### 1. ADDITIONAL KYC DETAILS (MANDATORY)

#### Sole / First Investor Details

- a. Occupation Details** [Please tick ✓]  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others\_\_\_\_\_ [Please specify]
- b. Gross Annual Income** [Please tick ✓]  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore  
**Net-worth in** [Mandatory for Non-Individuals] ₹ \_\_\_\_\_ as on  /  /  [Not older than 1 year]
- c. For Individuals/HUF**  I am Politically Exposed Person  
 I am Related to Politically Exposed Person  
 Not Applicable
- For Non-Individual Investors (Companies, Trust, Partnership etc)**  
 I. Foreign Exchange / Money Changer Services  YES  NO  
 II. Gaming / Gambling / Lottery / Casino Services  YES  NO  
 III. Money Lending / Pawning  YES  NO

2nd Investor Name  PAN

- a. Occupation Details** [Please tick ✓]  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others\_\_\_\_\_ [Please specify]
- b. Gross Annual Income**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore **OR** Net worth ₹ \_\_\_\_\_
- c. Others** [Please tick ✓]  Politically Exposed Person [PEP]  Related to a Politically Exposed Person [PEP]  Not Applicable

3rd Investor Name  PAN

- a. Occupation Details** [Please tick ✓]  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others\_\_\_\_\_ [Please specify]
- b. Gross Annual Income**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore **OR** Net worth ₹ \_\_\_\_\_
- c. Others** [Please tick ✓]  Politically Exposed Person [PEP]  Related to a Politically Exposed Person [PEP]  Not Applicable

### 2. FATCA AND CRS DETAILS For Individuals/HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA/CRS details form

Are you tax resident of any country other than India [Please tick ✓]  YES  NO

Sole/First Investor/Guardian			2nd Investor			3rd Investor / POA		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

Country <sup>a</sup>	Tax Identification Number <sup>a</sup>	Identification Type	Country <sup>a</sup>	Tax Identification Number <sup>a</sup>	Identification Type	Country <sup>a</sup>	Tax Identification Number <sup>a</sup>	Identification Type
1			1			1		
2			2			2		
3			3			3		

<sup>a</sup> To also include USA, where the individual is a citizen / green card holder of The USA

<sup>a</sup> In case Tax Identification Number is not available, kindly provide its functional equivalent \$

### 3. DECLARATION & SIGNATURES

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after necessary consultation with tax professionals. I / We have understood the information requirements pertaining to FATCA, CRS and Additional KYC requirements, terms and conditions [read along with instructions and scheme related documents] and hereby confirm that the information provided by me / us on this form and true, correct, and complete.

Sole / First Investor / Guardian	Second Investor	Third Investor	POA holder, if any

#### For Further Information:



TOLL FREE  
1800 425 0090



NON TOLL FREE  
+91 040 23433150



SMS  
IQ to 5757590



WEBSITE  
www.edelweissmf.com



EMAIL : INVESTORS  
emfhelp@edelweissfin.com

## INSTRUCTIONS

### General

1. This form can be used by those investors who have earlier not provided the requirements on Additional KYC details, FATCA and CRS details.
2. This form can also be used and attached by those investors who are using OLD Application Forms / Transaction Forms / Slips which do not contain new requirements on FATCA, CRS and KYC details.
3. Non individual investors can mention additional KYC details in this form and should additionally submit the following forms:
  - a. FATCA and CRS details and declaration form.
  - b. Ultimate Beneficial Ownership (UBO) details forms, if applicable.
4. All the information is mandatory. Applications are liable to be rejected without any intimation to the applicants, if all details are not provided by all the investors/unit holders.

### Additional KYC Requirements and Details

1. Additional KYC details like Occupation details, Gross Annual Income / Net worth and other details as mentioned in the relevant sections of the form are mandatory for all investors / unit holders as applicable, including joint holders.
2. Details of net worth are mandatory for Non Individual applicants and optional for Individual applicants in lieu of gross annual income. While providing details of net worth, the same should be of a date which is within one year of the application.
3. However, if the investor has provided these details already in response to any request from the Fund, then they may choose to ignore this section.
4. Non Individual applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed company or is controlled by such listed Company, are also required to submit a declaration of ultimate beneficial ownership in the mandated format as available on the website of the fund.

### FATCA and CRS related details

1. Applicants/Unit holders are required to mandatorily provide the relevant information for FATCA and CRS, including Ultimate Beneficial Ownership (UBO) details. In case of any change in any information provided, Unit holders should ensure to advise the Fund/RTA promptly i.e within a period of 30 days.
2. All Applicants/Unit holders, individuals and non individuals, must be aware that the failure to provide all relevant details in relevant section and/or relevant forms will result in rejection of their investment application form, refund of application money, reversal of units allotted and the Fund will not be liable for any consequent loss to the Applicants/Unit holders.
3. Applicants like Individuals (including in the name of sole proprietorship firm), joint applicants, HUF, are required to provide details, as mentioned in this section, like Place and Country of birth, Country of Citizenship/Nationality mandatorily. If the applicant/s have any countries of tax residency other than India, details of all such

- countries and relevant tax identification number needs to be provided. If the space in the form is not adequate, applicants are required to attach additional sheets with information duly signed.
4. All Non Individuals should fill and submit a separate form for FATCA and CRS declaration. Non-Individual entities, including partnerships, (other than those listed on a recognized stock exchange in India or is a subsidiary or related or controlled by such listed company) should also fill and submit a form for Ultimate Beneficial Ownership (UBO) details.
  5. If you have any questions about your tax residency or other definitions or terms used, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.
  6. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach to the form.
  7. Applicant/unit holder should note that they also specifically authorize to disclose, share, remit in any form, mode or manner, all or any of the information provided by, including all changes, updates to such information as and when provided, to the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, applicant/unit holder also authorizes to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation and for other relevant purposes.
  8. Applicant/unit holder also undertakes to keep the Mutual Fund informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required.
  9. Please note that applicants/unit holders may receive more than one request for information if you have multiple relationships/accounts/folios with us. Therefore, it is important that you respond to each of our request, even if you believe you have already supplied any previously requested information.
  10. In case any of the specified information provided by the applicant/unit holder is found to be false or untrue or misleading or misrepresenting, applicant/unit holder will be solely liable and will indemnify the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees / associated parties and the RTAs.
  11. In case applicant/unit holder has any of the Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant details as may be asked for.
  12. However, if the investor has provided these details already in response to any request from the Fund, then they may choose to ignore this section.

### For Further Information:



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