Form for Additional KYC, FATCA & CRS Annexure for



Individual Accounts (Form 1A) (Including Sole Proprietor) (Refer to instructions) (Please consult your professional tax advisor for further guidance on your tax residency) (Fields marked with * are mandatory for all and * are mandatory for PAN exempt cases)

FIRST / SOLE APPLICANT ARN -4464			E032737 VIKRAM S. BAGADTHEY			
Name						
PAN [or PAN Exempt	KYC Ref No. (PERN)			
Place of Birth			Country of Birth			
Nationality Indian U.S. Others			Tax Residence Address Residential Registered Office Business			
If 'NO'	please proceed for the signa					
	, please fill for ALL countries i Card Holder / Tax Resident ir		are Resident for tax purpose	es i.e. where you are a Citizen / Resident /		
Sr. No.	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or Other, please specify)	If TIN is not available, please tick ✓ the reason A, B or C (as defined overleaf)		
1.				→Reason □A □B □ C		
2.				→Reason □A□B□C		
3.				→Reason □A □B□ C		
SECO	OND APPLICANT					
Name						
PAN [or PAN Exempt	KYC Ref No. (PERN)			
Place of	f Birth		Country of Birth			
Nationality Indian U.S. Others			Tax Residence Address (for KYC address)	Residential Registered Business		
-		assessed for Tax) in any other	outside India? → ☐ Yes ☐ N	0		
	please proceed for the signal please fill for ALL countries		are Resident for tax purpos	es i.e. where you are a Citizen / Resident /		
Green	Card Holder / Tax Resident in	the respective countries				
Sr. No.	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or Other, please specify)	If TIN is not available, please tick ✓ the reason A, B or C (as defined overleaf)		
1.				→Reason □A □B □ C		
2.				→Reason □A □ B □ C		
3.				→Reason □A □B □ C		
THIR	D APPLICANT					
Name						
PAN [or PAN Exempt KYC Ref No. (PERN)					
Place of	f Birth		Country of Birth			
Nationality Indian U.S. Others			Tax Residence Address (for KYC address)	Residential Registered Business		
-	a tax resident (i.e. are you a please proceed for the signa	assessed for Tax) in any other of declaration	outside India? → 🗆 Yes 🔲 N	0		
	<mark>, please fill</mark> for ALL countries ! Card Holder / Tax Resident ir		are Resident for tax purpose	es i.e. where you are a Citizen / Resident /		
Sr. No.	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or Other, please specify)	If TIN is not available, please tick the reason A, B or C (as defined overleaf)		
1.				→Reason □A□B□C		
2.				→Reason □A □B □ C		
3.				→Reason □A□B□C		

ARN-4464 E032737 VIKRAM S. BAGADTHEY

GUARDIAN / POA / PROPRIETOR Name or PAN Exempt KYC Ref No. (PERN) PAN Country of Birth Place of Birth Tax Residence Address Registered Others U.S. Nationality Indian Residential **Business** (for KYC address) Office Are you a tax resident (i.e. are you assessed for Tax) in any other outside India? \rightarrow \square Yes \square No If 'NO' please proceed for the signature of declaration If 'YES', please fill for ALL countries (other than India) in which you are Resident for tax purposes i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries Tax Identification Number If TIN is not available, please Country of **Identification Type** No. Tax Residency or Functional Equivalent (TIN or Other, please specify) tick ✓ the reason A, B or C (as defined overleaf) 1. →Reason □ A □ B □ C_ 2. →Reason □ A □ B □ C 3. →Reason □ A □ B □ C_ Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents. Reason B → No TIN required. (select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected) Reason C → others, please state the reason thereof First Applicant Second Applicant Third Applicant Guardian/POA/Proprietor Additional KYC Information* (Including Minor) Gross Annual Income (Rs.) -Gross annual Income (Rs.) Gross annual Income (Rs.) Gross annual Income (Rs.) Gross annual Income (Rs.) Categories ' Below 1 Lac, 1 - 5 Lac, 5 Lac - 10 Lac, 10 Lac - 25 Lac, 25 Lac - 1 Cr, 1 Cr - 5 Cr, 5 Cr - 10 Cr, above 10 Cr Rs. Rs. Rs. Rs. as on Net-worth (Mandatory for Non-Individuals) (Rs.) (Not older than 1 year) Source of Wealth Occupation - Categories* Private Sector Service, Public Sector Service, Government Service, Business, Professional. Agriculturist, Retired, Housewife, Student, Forex Dealer & Others In case of business / profession, indicate the details (Including nature of goods/ services dealt in) Politically Exposed Person (PEP) Status* I am PEP I am PEP I am PEP I am PEP (Also applicable for authorised signatories/Promoters/Karta/ I am a relative / associate of PEP Trustee /Whole time Directors) associate of PEP associate of PEP associate of PEP None of these None of these None of these None of these Any other KYC related information which you wish to provide Note: Politically Exposed Persons (PEP) are defined as Individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior $politicians, senior\ government/judicial/military\ officers, senior\ executives\ of\ state-owned\ corporations, important\ political\ party\ officials,\ etc.$ *Under Rule 9 of PMLA Rules, 2005, investments in MF schemes of upto Rs. 50,000/- per investor per Mutual Fund per Financial year shall be exempted from requirement of Additional KYC information. DECLARATION I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax/revenue authorities and other investigation agencies without any obligation of advising me/us of the same. CERTIFICATION I/We have understood the information requirements of this Form (read along with the FATCA, Additional KYC & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. First / Sole Applicant / Guardian / Second Applicant Third Applicant **POA Holder Authorised Signatory**

Date

Place

FATCA & CRS TERMS & CONDITIONS

Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with IDFC Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS INSTRUCTIONS

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS Indicia		
U.S. place of birth	Self-certification that the account holder is neither a citizen of United States of America nor a resid for tax purposes;		
	2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND		
	3. Any one of the following documents:		
	Certified Copy of "Certificate of Loss of Nationality		
	or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;		
	or Reason the customer did not obtain U.S. citizenship at birth		
Residence/mailing address in a country other than India	l. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and		
	2. Documentary evidence (refer list below)		
Telephone number in a country	If no Indian telephone number is provided		
other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and		
	2. Documentary evidence (refer list below)		
	If Indian telephone number is provided along with a foreign country telephone number		
	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR		
	2. Documentary evidence (refer list below)		

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

ADDITIONAL KYC DETAILS INSTRUCTIONS

- Politically Exposed Persons (PEP) are defined as Individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of stateowned corporations, important political party officials, etc.
- 2) Country of Tax Residence and Tax ID number: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly. If you are a US citizen or resident, please include United States in this related field along with your US Tax Identification Number.

IDFC MUTUAL FUND - ACKN Received, subject to realisation FATCA and CRS declarations	I D F C		
From In Folio No.		on Date D D M M Y Y Y	Stamp & Signature
For Financial Transactions Toll free 1-800-2-666688 Available between 8.00 am to 7.00 pm on business days only.	For Non Financial Queries/Requests Toll free 1-800-300-66688 Available between 8.00 am to 7.00 pm on business days only.	Please note our investor service email id investormf@idfc.com	www.idfcmf.com