HSBC MF KYC Form

(To be Filled in BLOCK LETTERS only)

HSBC Global Asset Management

ARN-4464 E032737 VIKRAM S. BAGADTHEY

Folio No.

Please note that applicant details and mode of holding will be as per existing Folio Number.

1 SOLE / FIRST APPLICANT'S INFORMATION (Please tick (✓) wherever applicable)

Name Mr Ms M/s

Date of Birth~ (Proof required for Minor) D D M M Y Y Y Y

~ Proof Enclosed : ☐ Birth Certificate ☐ Passport ☐ School Leaving Certificate ☐ Marksheet issued by HSC/State Board ☐ Others _____ (please specify)

KYC Identification No. (KIN) ‡‡

PAN** Proof to be enclosed (✓) ☐ PAN card Copy

Nationality _____ **Country of Residence** _____

Guardian Name (if Sole / First applicant is a Minor) Mr Ms M/s

☐ Natural Guardian+ (Father or Mother) ☐ Legal Guardian++ (court appointed Guardian)

+ Document evidencing relationship with Guardian ++ In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

KYC Identification Number (KIN) ‡‡

PAN** Proof to be enclosed (✓) ☐ PAN card Copy

KYC details (Details of Guardian in case the unitholder is a minor)

1a. Occupation Details : ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Business [Nature of Business] _____ ☐ Doctor ☐ Forex Dealer ☐ Casino Owner ☐ Arms manufacturer ☐ Gambling services offerer ☐ Money lender ☐ Pawn Broker ☐ Others [Please specify] _____

1b. Gross Annual Income : ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-10 Lacs ☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore

OR Net-worth in Rupees (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year as on (date) D D M M Y Y Y Y

1c. For Individuals [Tick (✓) if applicable] :

☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

Overseas Address (in case of NRI's) - should be same as in KRA records

City State

Country Zip Code

e-mail+

☐ **Yes** ☐ **No** + I/We, wish to receive scheme wise annual report or an abridged summary thereof/account statements/statutory & other documents by email. If unticked, by default the above will be sent on email.

2 SECOND APPLICANT'S INFORMATION (Please tick (✓) wherever applicable)

Name Mr Ms M/s

Date of Birth D D M M Y Y Y Y

KYC Identification No. (KIN) ‡‡

PAN** Proof to be enclosed (✓) ☐ PAN card Copy

Nationality _____ **Country of Residence** _____

KYC details

2a. Occupation Details : ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Business [Nature of Business] _____ ☐ Doctor ☐ Forex Dealer ☐ Casino Owner ☐ Arms manufacturer ☐ Gambling services offerer ☐ Money lender ☐ Pawn Broker ☐ Others [Please specify] _____

2b. Gross Annual Income : ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-10 Lacs ☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore

OR Net-worth in Rupees (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year as on (date) D D M M Y Y Y Y

2c. Others (please ✓) : ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

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3 THIRD APPLICANT'S INFORMATION (Please tick (✓) wherever applicable)

Name	Mr Ms M/s		
Date of Birth	D D M M Y Y Y Y	**KYC Identification No. (KIN) ‡‡**	
PAN**		Proof to be enclosed (✓) ☐ PAN card Copy	
Nationality		**Country of Residence**	

KYC details

3a. Occupation Details : ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Business [Nature of Business] _____ ☐ Doctor ☐ Forex Dealer ☐ Casino Owner ☐ Arms manufacturer ☐ Gambling services offerer ☐ Money lender ☐ Pawn Broker ☐ Others [Please specify] _____

3b. Gross Annual Income : ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-10 Lacs ☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore

OR Net-worth in Rupees (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year as on (date) D D M M Y Y Y Y

3c. Others (please ✓) : ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

4 PoA HOLDER DETAILS (If the investment is being made by a Constituted Attorney please furnish details of PoA holder)

Name	Mr Ms M/s		
Date of Birth	D D M M Y Y Y Y	**KYC Identification No. (KIN) ‡‡**	
PAN**		Proof to be enclosed (✓) ☐ PAN card Copy	
Nationality		**Country of Residence**	

KYC details

4a. Occupation Details : ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Business [Nature of Business] _____ ☐ Doctor ☐ Forex Dealer ☐ Casino Owner ☐ Arms manufacturer ☐ Gambling services offerer ☐ Money lender ☐ Pawn Broker ☐ Others [Please specify] _____

4b. Gross Annual Income : ☐ Below ₹ 1 Lac ☐ ₹ 1-5 Lacs ☐ ₹ 5-10 Lacs ☐ ₹ 10-25 Lacs ☐ ₹ 25 Lacs - ₹ 1 Crore ☐ > ₹ 1 Crore

OR Net-worth in Rupees (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year as on (date) D D M M Y Y Y Y

4c. Others (please ✓) : ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

5 DECLARATIONS AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)

OTHER DECLARATIONS

Having read and understood the contents of the Scheme Information Document, Key Information Document,Statement of Additional Information and Addenda of the Scheme(s) issued till date, I / We hereby apply to the Trustees of HSBC Mutual Fund for units of the relevant Scheme and agree to abide by the terms, conditions, rules and regulations of the Scheme and the above mentioned documents of HSBC Mutual Fund. I / We hereby authorise HSBC Mutual Fund, the AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such other service providers as deemed necessary for conduct of business.

I / We confirm that the details provided by me / us are true and correct.

Sole / First Applicant / Guardian / PoA	Second Applicant / PoA	Third Applicant / PoA

Date

‡‡ W.e.f February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund.

** W.e.f. January 1, 2008, PAN number is Mandatory for all investors (including Joint Holders, POA holder, Guardian in case of Minor and NRIs). For Micro SIP Investment please refer Important Instruction.