

# Registration Form for Money-Back Facility

ARN-4464 E032737 VIKRAM S. BAGADTHEY

Time Stamp

Money-Back is the nomenclature of the facility and should not be construed as an assurance of returns/performance of the Scheme.

Please refer to the General Instructions for assistance. If you are not investing through a Distributor, write DIRECT in the Distributor Code.

Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
ARN-4464			E032737	

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of ₹ 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are ₹ 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than ₹ 10,000/-. If this is the first time, you are investing in any mutual fund, please tick here

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

<input type="checkbox"/> Sole/1st Applicant	<input type="checkbox"/> 2nd Applicant	<input type="checkbox"/> 3rd Applicant
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## 1. APPLICANT INFORMATION

Name of Sole/1st Unit Holder  First Name  Middle Name  Last Name  Folio No.

PAN/PEKRN\*\*  First Unit Holder  Second Unit Holder  Third Unit Holder

KIN\*  First Unit Holder  Second Unit Holder  Third Unit Holder

Date of Birth\* (1st Unit Holder)  Date of Birth\* (2nd Unit Holder)  Date of Birth\* (3rd Unit Holder)

Mobile No. +91-  E-mail ID

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto ₹ 50,000 in a year.  
 ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

## 2. MONEY-BACK FACILITY

Registration for Money-Back Facility  Cancellation of Money-Back Facility

I/We wish to avail Money-Back Facility in the following scheme (Please tick any one)

L&T Hybrid Equity Fund  L&T Dynamic Equity Fund  L&T Equity Savings Fund  
 Dividend Payout  Growth  Dividend Payout  Growth  Dividend Payout  Growth

L&T Credit Risk Fund  L&T Conservative Hybrid Fund  L&T Low Duration Fund  
 Dividend Payout  Growth  Dividend Payout  Growth  Dividend Payout  Growth

Plan  Direct  Regular

Money-Back Date (✓) Growth  1st  5th  10th\*  15th  20th  25th  28th Money-Back Frequency  Monthly  
 Dividend Payout  28th (Read point 11 under General instructions)

Money-Back Period (✓)  Till I have balance or instruct discontinuation End date

Amount on which % is to be applied for Money-Back installment # ₹

# Minimum amount/ Current value of investment should be ₹ 1 lakh

% on Amount for Money-Back Installment  0.75%  0.60%\*

(Money-Back installment amount per month will be derived by multiplying amount as mentioned above with the percentage mentioned here, refer point no. 8 from general instructions)  
 \* Default option if not selected

## 3. DECLARATION & SIGNATURES (To be signed as per Mode of Holding)

I/We have read and understood the instructions on Money-Back facility and hereby apply for Money-Back facility as mentioned above. I/ We also understand that Money-Back is the nomenclature of the facility and should not be construed as an assurance of returns/performance of the Scheme.

(Sole/First Unit Holder)

(Second Unit Holder)

(Third Unit Holder)

## ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

Folio No.  Received from  Name of the Sole/First Unit Holder

Scheme/Plan/Option

Money-Back facility  Registration  Cancellation

For Office Use Only

Acknowledgement Stamp & Date

**GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

1. **Money-Back is the nomenclature of the facility and should not be construed as an assurance of returns/performance of the Scheme.**
2. This facility is available in Growth as well as Dividend Payout option of specified Scheme/s and Plan/s. Currently the facility is available in L&T Hybrid Equity Fund, L&T Equity Savings Fund, L&T Conservative Hybrid Fund, L&T Dynamic Equity Fund, L&T Credit Risk Fund and L&T Low Duration Fund and the AMC may add more schemes at its discretion.
3. Investor has to select either Registration or Cancellation by ticking the appropriate box. In case no option or both the options are selected the application will be considered for Registration by default.
4. Investors can opt for this facility and withdraw their investments systematically on a Monthly basis. Withdrawals will be made/ effected on the day selected by the investor in the form and would be treated as redemption. In case the day selected by the investor happens to be a non business day in a particular month, then it would be processed on next business day.
5. Please use separate request form for each scheme to avail Money-Back facility, if no schemes are selected or opted for multiple schemes, the AMC reserves the right to reject the Money-back request.
6. Investor can opt for this facility for any one of the date/s as specified in the form in case of Growth option, provided a minimum time gap of 15 calendar days from the date of request. Investors should submit a duly filled and signed form at least 15 calendar days before the first installment. If multiple dates are selected in a month, the facility will be registered for 10th of the month only as default. In case of dividend payout option, 28th of the month will be the effective date, In case such date falls on a holiday, then it would be processed on next business day.
7. Minimum number of installments should be at least 06 (six) installments. Where the end month is not mentioned, the facility will be registered till the balance is available.
8. Money-Back installment amount per month will be derived by multiplying amount as mentioned in relevant column of the form with percentage as mentioned in the relevant column and will be rounded-off to the multiple of ₹ 1/-. For example, if the Amount on which % to be applied for Money- Back Installment is specified as ₹ 1 lakh and % on Amount for Money-Back Installment is specified as 0.75%, then the monthly installment will be ₹ 750/ (₹ 1 Lakh x 0.75%).
9. To avail the facility, current value of investment/ amount on which the percentage to be applied, should be minimum of ₹ 1 lakh. If the investor mentions an amount less than ₹ 1 Lakh, application is liable to be rejected or the AMC may register as if the amount is ₹ 1 Lakh at the discretion of AMC.
10. The minimum amount of each withdrawal shall be ₹ 500
11. In Dividend payout option, every month Investor will receive either redemption through money back option or payment of dividend. Accordingly money back option/ SWP shall not be processed for a particular month in which record date for payment of dividend is fixed before 28th of the said month and Distributable surplus is available on record date for payment of dividend.
12. The Money-Back facility will terminate automatically if balance available in the respective scheme on the date of installment trigger is inadequate or not available or if the enrollment period expires; whichever is earlier. In case there is a residual amount which is less than the installment amount, the same would be processed as the last installment.
13. This facility is not available for investor having investments/units in demat mode. If the investor gives a request to dematerialize the existing units in the folio, such request will automatically cancel the existing Money-Back registration.
14. In case of any ambiguity or incorrect or illegible details in the form, the AMC reserves the right to reject the request.
15. The investor will have the right to discontinue the Money-Back at any time, by submitting a written cancellation request at least **15 calendar days** in advance.
16. AMC reserves the right to amend/terminate/discontinue this facility at any time for existing registrations or discontinue for new registrations by issuing an addendum. Where the facility is discontinued for existing registrations, the AMC will notify the investors through post/ email.