

Application Form for PE STP (FORM 4)

(For Existing Investors only)



Distributor Code	Sub-Distributor Code	EUIN No.	Application No.
ARN-4464	ARN-	E032737	
EUIN Declaration	I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.		
RIA Declaration	I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA*		
	First Holder	Second Holder	Third Holder
	First Holder	Second Holder	Third Holder

TRANSACTION CHARGES (Please ✓ any one of the below) (Refer Instruction No. R)

☐ I am a first time investor in mutual funds (₹ 150 will be deducted) OR ☐ I am an existing investor in mutual funds (₹ 100 will be deducted)

Applicable for transactions routed through a distributor who has 'opted in' for transaction charges.

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investors' assessment of various factors including service rendered by the distributor.

1. INVESTOR DETAILS (Please refer to the Instruction No. A, C, D, Q, Z1 & Z2)

Existing Folio Number *Date of Birth KIN KYC Identification Number

INVESTMENT & PAYMENT DETAILS (Please refer to the Instruction No. E, J, N, L)

Payment Type (please ✓): ☐ Self ☐ Third Party Payment (please fill the 'Third Party Payment Declaration Form' available on the website)

☐ I would like to use my existing investment in the source scheme for subscribing to PE STP (Fill Section I & III)

☐ I would like to make a lumpsum investment in source scheme for subscribing to PE STP (Fill Section I, II & III)

SECTION - I	Source Scheme IDFC <input type="checkbox"/> MMF-TP <input type="checkbox"/> Ultra-Short Term Fund <input type="checkbox"/> Cash Fund <input type="checkbox"/> Arbitrage Fund <input type="checkbox"/> Arbitrage Plus Fund <input type="checkbox"/> Plan <input type="text"/>
	Option <input type="checkbox"/> Growth <input type="checkbox"/> Div-Reinvest <input type="checkbox"/> Div-Payout <input type="checkbox"/> Div-Sweep* Div Frequency <input type="text"/>
	*Dividend Sweep Option to (Scheme & Plan Name) IDFC <input type="text"/> <input type="checkbox"/> Growth <input type="checkbox"/> Div-Payout <input type="checkbox"/> Div-Reinvest
	Dividend Sweep Option is available from all Debt Schemes to Equity and Equity to Debt Schemes of IDFC Mutual Fund. Please fill in all details of Sweep.
SECTION II	Lumpsum Amount Rs. <input type="text"/> Amount in figures <input type="text"/> Amount in words <input type="text"/>
	Payment Mode <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS/NEFT <input type="checkbox"/> Funds Transfer <input type="checkbox"/> CAMS OTM <input type="checkbox"/> CAMS OTM NO. <input type="text"/>
	Instrument No. <input type="text"/> Date <input type="text"/>
	Account No. <input type="text"/>
SECTION III	Amount (₹) (i) <input type="text"/>
	DD charges, (₹)(ii) <input type="text"/>
	Total Amount (₹) (i) + (ii) <input type="text"/> in figs <input type="text"/>
	Bank Name <input type="text"/>
SECTION IV	Branch & City <input type="text"/>
	Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR
	STP DETAILS (Refer Instruction x)
	STP Installment amt (Rs.) (*) <input type="text"/> Plan (please ✓) <input type="checkbox"/> Default <input type="checkbox"/> My Plan <input type="checkbox"/> Red Zone <input type="text"/> * <input type="text"/> Yellow Zone <input type="text"/> * <input type="text"/> Green Zone <input type="text"/> *

SECTION III	Minimum STP installment amount in target scheme is Rs. 2,000/- for IDFC Premier Equity Fund / Rs. 500/- and in multiples of Rs. 500/- for Tax Advantage (ELSS) Fund / Rs. 100/- for Nifty Fund / Rs. 1,000/- for all other eligible schemes and any amount thereafter for all schemes except ELSS.
	Target Scheme IDFC <input type="checkbox"/> Premier Equity Fund <input type="checkbox"/> Sterling Equity Fund <input type="checkbox"/> Classic Equity Fund <input type="checkbox"/> Focused Equity Fund <input type="checkbox"/> Equity Fund <input type="checkbox"/> Infrastructure Fund <input type="checkbox"/> Dynamic Equity Fund <input type="checkbox"/> Nifty Fund <input type="checkbox"/> Tax Advantage (ELSS) Fund <input type="checkbox"/> Balanced Fund (Refer Instruction no. E (v) for Default Options).
	Option <input type="checkbox"/> Growth <input type="checkbox"/> Div-Reinvest <input type="checkbox"/> Div-Payout <input type="checkbox"/> Div-Sweep* Div Frequency <input type="text"/>
	*Dividend Sweep Option to (Scheme & Plan Name) IDFC <input type="text"/> <input type="checkbox"/> Growth <input type="checkbox"/> Div-Payout <input type="checkbox"/> Div-Reinvest
SECTION IV	Dividend Sweep Option is available from all Debt Schemes to Equity and Equity to Debt Schemes of IDFC Mutual Fund. Please fill in all details of Sweep.
	STP Frequency (Default STP Frequency is Monthly) (Refer instruction M)
	STP Enrollment Period (Default End Date is Dec., 2099)
	From <input type="text"/> To <input type="text"/>

2. DECLARATION & SIGNATURES (Please refer to the Instruction No. K)

I/We have read and understood the terms and features of the scheme(s) and associated risk factors. Having read and understood the contents of the Statement of Additional Information (SAI) of IDFC Mutual Fund, Scheme Information Document (SID) and Key Information Memorandum (KIM) of the scheme(s) and the Addenda issued till date, I/we hereby apply for the units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Taxation Laws, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws as applicable to me/us from time to time. I/We confirm that the funds invested in the Scheme(s), legally belong to me / us and I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We are eligible investor(s) as per the scheme related documents and are authorised to make this investment as per the Constitutive documents / authorisation(s). I/We further confirm that I am not / we are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any judicial or regulatory authority. 2. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I/We hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption subject to applicable exit load and undertake such other action with such funds that may be required by the Law 3. I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me / us, including all changes, updates to such information as and when provided by me / us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authorities and other investigation agencies without any obligation of advising me/us of the same. 4. The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. 5. For micro-investments only: I/We confirm that I/we do not have any other existing investment in the schemes of IDFC Mutual Fund which together with this proposed investment will result in aggregate investments exceeding Rs.50,000/- in a year. 6. For NRIs / PIOs / EPIs only: I/We confirm that I am / we are Non Residents Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada, and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

☐ I/We hereby confirm that, I/We have read and understood the Privacy Policy hosted on www.idfcmf.com. I/ We hereby consent IDFC AMC/IDFC MF/Trustee to share information (including sensitive personal data or information) provided in relation to our investment in IDFC MF to any Associate / Group company / Affiliate of IDFC AMC/IDFC MF / Trustee, for offering, marketing or solicitation of their products and services.

First/ Sole Applicant/ Guardian/ Authorised Signatory	Second Applicant	Third Applicant	POA Holder
Date <input type="text"/>	Date <input type="text"/>	Date <input type="text"/>	Date <input type="text"/>
Place <input type="text"/>	Place <input type="text"/>	Place <input type="text"/>	Place <input type="text"/>

IDFC MUTUAL FUND - ACKNOWLEDGMENT SLIP (To be filled in by the investor.)

Received, subject to realisation, verification and conditions

☐ Application for purchase of PE STP Units as mentioned in the application form ☐ FACTA / CRS / UBO Declaration, as applicable.

From <input type="text"/>	Application No. <input type="text"/>
Instrument No. <input type="text"/>	Dated <input type="text"/>
Amount (Rs.) <input type="text"/>	Scheme <input type="text"/>
Stamp & Signature <input type="text"/>	