

## SYSTEMATIC INVESTMENT PLAN (SIP)

Registration Cum Mandate Form For NACH/Direct Debit

MIRAE ASSET

With Goal SIP &amp; Top-Up Facility

Application No.:

Mutual Fund

| Name & Broker Code / ARN / RIA Code | Sub Broker / Agent ARN Code | Sub Agent Code | EUIN*   | Internal Code for AMC | ISC Date Time Stamp Reference No. |
|-------------------------------------|-----------------------------|----------------|---------|-----------------------|-----------------------------------|
| ARN-4464                            |                             |                | E032737 |                       |                                   |

EUIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN\* box is left blank). Please refer instruction 12 of KIM for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/ RIA\*.

|  |  |  |
|--|--|--|
| Signature of 1 <sup>st</sup> Applicant / Guardian / Authorised Signatory / PoA / Karta | Signature of 2 <sup>nd</sup> Applicant / Guardian / Authorised Signatory / PoA | Signature of 3 <sup>rd</sup> Applicant / Guardian / Authorised Signatory / PoA |
|--|--|--|

Please  Enrollment for New Registration (Please fill all sections) OR  SIP Top-up Facility OR  Goal SIP

**1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)**

Name of 1<sup>st</sup> Unit Holder \_\_\_\_\_ Folio No. \_\_\_\_\_

**2. SIP ENROLMENT DETAILS (Please check the Minimum Amount Criteria for the scheme applied for. [Refer Instruction 17 Overleaf]).**

Frequency Please  Monthly (Default)  Quarterly  Regular Plan  Direct Plan  Growth (Default)  Dividend Reinvestment (Please  Dividend Payout

Scheme: \_\_\_\_\_

SIP Date   (Please choose Any Date from 1<sup>st</sup> till 28<sup>th</sup> of the month, If left blank 5<sup>th</sup> will be considered as the default date) SIP Amount (₹)  5,000  10,000  25,000  Any other Amount. (₹)

SIP Start Month (MM/YY)     SIP End Month (MM/YY)     OR Perpetual  Dec 2099 (Till you instruct Mirae Asset Mutual Fund to discontinue your SIP)

**2a. Goal SIP - Do you want to assign a goal for your SIP. Yes  No  If yes please select (✓) your goal [Refer Instruction 24 Overleaf].**

Please specify your goal amount ₹  Kids Marriage  Kids Education  Retirement Planning (Default)

Tax Savings  Dream House  Dream Car  Dream Vacation  Others- Please specify

**2b. SIP TOP-UP FACILITY (You can start SIP Top-up facility after minimum 6 months from 1st SIP) Refer Instruction No. 23 on the reverse on SIP Top-up**

All Applicants have to submit NACH mandate and will need to fill the maximum amount in line with Top Up amount, SIP amount & tenure. (Not available for micro SIPs)

Top-up Amount (₹) (minimum ₹ 500/- & in multiples of ₹ 1/- only) Top-up Start Month (MM/YY)     Top-up End Month (MM/YY)

Existing Investors Availing Top-Up: Please provide current SIP IH Number as per SOA \_\_\_\_\_ Frequency Please  Half Yearly  Yearly (Default)

**3. SIP PAYMENT DETAILS (New Investors - Please provide copy of cancelled cheque and mention relevant SIP details in the form and NACH mandate.)**

Cancelled cheque Leaf First SIP Cheque No. \_\_\_\_\_ Drawn on Bank \_\_\_\_\_

Cheque Date \_\_\_\_\_ A/c. Type  NRE  CURRENT  SAVINGS  NRO

**4. BANK ACCOUNT DETAILS (Mandatory)**

Name of 1<sup>st</sup> A/c. Holder as in Bank Records \_\_\_\_\_

Bank Name \_\_\_\_\_ Core Banking A/c. No. \_\_\_\_\_

Branch Name & Address \_\_\_\_\_ City \_\_\_\_\_

9 Digit MICR Code \_\_\_\_\_ Bank Account Type  NRE  CURRENT  SAVINGS  NRO

**DECLARATION & SIGNATURE:** To The Trustees, Mirae Asset Mutual Fund - Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme & conditions of SIP enrolment and registration through NACH/ECS or Direct Debit (Auto Debit). I/We also agree that if the transaction is delayed or not effected for reasons of incomplete or incorrect or any other operational reasons, I/We would not hold Mirae Asset Investment Managers (India) Private Limited\*, their appointed service providers or representatives responsible. I/We also undertake to keep sufficient funds in my bank account on the date of execution of the said standing instructions. \*The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us\*. I/We have not made any other Micro application (including Lumpsum + SIPs) which together with the current application would result in aggregate investments exceeding ₹ 50,000 in a rolling 12 month period or in a financial year\*. Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating my address in my folio.  (Please tick, if enclosed)

\*Securities and Exchange Board of India ("SEBI") vide its letter dated November 20, 2019 bearing reference no. SEBI/HO/IMD/DF5/OW/P/2019/30719/1 ("SEBI NOC") had granted their non-objection to transfer the AMC Business from 'Mirae Asset Global Investments (India) Pvt Ltd' to 'Mirae Asset Investment Managers (India) Private Limited'. Kindly refer notice cum addendum no. AD/28/2019 dated November 25, 2019 for further details.

|   |  |   |
|---|--|---|
| Signature of 1 <sup>st</sup> Applicant/Guardian/Authorised Signatory/PoA/Karta (AS IN BANK RECORDS) | Signature of 2 <sup>nd</sup> Applicant/Guardian /Authorised Signatory/PoA (AS IN BANK RECORDS) | Signature of 3 <sup>rd</sup> Applicant/Guardian/Authorised Signatory/PoA (AS IN BANK RECORDS) |
|---|--|---|

Tick(✓)<sup>7</sup> UMRN<sup>1</sup> \_\_\_\_\_ for office use only \_\_\_\_\_ ARN-4464 E032737 Date<sup>2</sup> DD MM YYYY \_\_\_\_\_

Create  Sponsor Bank Code<sup>3</sup> \_\_\_\_\_ Utility Code<sup>4</sup> \_\_\_\_\_

Modify  I/We, hereby authorize<sup>5</sup> Mirae Asset Investment Managers (India) Pvt. Ltd. To Debit (Tick ✓)<sup>6</sup> SB / CA / CC / SB-NRE / SB-NRO / Other

Cancel  Bank A/c Number<sup>8</sup> \_\_\_\_\_

Bank Name<sup>9</sup> \_\_\_\_\_ IFSC<sup>10</sup> \_\_\_\_\_ or MICR<sup>11</sup> \_\_\_\_\_

Amount in words<sup>12</sup> \_\_\_\_\_ Amount in Figures<sup>13</sup> ₹ \_\_\_\_\_

Frequency<sup>14</sup>  Mthly  Qtly  H-Yrly  Yrly  As & when presented Debit Type<sup>15</sup>  Fixed Amount  Maximum Amount

Ref 1<sup>16</sup>: Folio No. \_\_\_\_\_ Mobile<sup>18</sup> \_\_\_\_\_

Ref 2<sup>17</sup>: Scheme \_\_\_\_\_ Email ID<sup>19</sup> \_\_\_\_\_

Period<sup>20</sup> D D M M Y Y Y Y Y \_\_\_\_\_ I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

From

To

Or  Until cancelled

21 Signature of primary account holder Signature of joint account holder Signature of joint account holder

22 Name of primary account holder Name of joint account holder Name of joint account holder

This is to confirm that declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized debit.