

# SYSTEMATIC WITHDRAWAL PLAN (SWP) Enrolment Form



Please use separate form for each Scheme/Plan/Option  
(Please read the Terms and Conditions overleaf)

To, **ARN-4464 E032737 VIKRAM S. BAGADTHEY**  
UTI MF

Date \_\_\_\_\_

I/We have read and understood the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM), addenda issued till date of the scheme and the terms/conditions overleaf. I/We hereby apply for enrolment under SWP and agree to abide by the terms and conditions of SWP. I / We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements.

Folio No. (Existing Unit holder) \_\_\_\_\_

Application No. (New Investor) \_\_\_\_\_

Name of the First / Sole Applicant  
\_\_\_\_\_

Name of second Applicant/Guardian (in the case of first/sole applicant is minor)  
\_\_\_\_\_

**SCHEME DETAILS – Name of the scheme** \_\_\_\_\_ **Plan –** \_\_\_\_\_ **Option –** \_\_\_\_\_

WITHDRAWAL DETAILS		SWP Term			
Frequency (Please Tick)	Amount	Until Cancelled (Default 2099)	No. of installment	SWP Date	SWP Period
<input type="checkbox"/> Monthly	In Figures _____ In words _____ (minimum ₹. 500 & in multiples of ₹. 1 there after)	<input type="checkbox"/>	_____ Minimum 3	DD DD DD DD	From MM MM YY YY To MM MM YY YY
<input type="checkbox"/> Quarterly	In Figures _____ In words _____ (minimum ₹. 500 & in multiples of ₹. 1 there after)	<input type="checkbox"/>	_____ Minimum 3	DD DD DD DD	From MM MM YY YY To MM MM YY YY
<input type="checkbox"/> Half yearly	In Figures _____ In words _____ (minimum ₹. 500 & in multiples of ₹. 1 there after)	<input type="checkbox"/>	_____ Minimum 3	DD DD DD DD	From MM MM YY YY To MM MM YY YY
<input type="checkbox"/> Yearly	In Figures _____ In words _____ (minimum ₹. 500 & in multiples of ₹. 1 there after)	<input type="checkbox"/>	_____ Minimum 3	DD DD DD DD	From MM MM YY YY To MM MM YY YY

I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments

\*I/We confirm that we are Non-residents of Indian Nationality/Origin and that the funds are remitted from abroad through banking channels or from my/our NRE/NRO Account. I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund.

\* Applicable to NRIs

I request you to include all subsequent investment also under SWP as per option indicated above.

Holders	Signature	PAN Number#	Email Id / Mobile
1st holder/Guardian			
2nd Holder			
3rd Holder			

# Mandatory as per SEBI guidelines



## Acknowledgement of SWP Application Form (To be filled in by the Unit holder)

Received from \_\_\_\_\_ SWP Form the folio  
\_\_\_\_\_ for scheme \_\_\_\_\_ amount \_\_\_\_\_ frequency \_\_\_\_\_

## Terms and Conditions of Systematic Withdrawal Plan

1. All correction / changes (if any) made on the registration form, needs to be authenticated by canceling the re-writing the correct details and countersigned by the sole/all applicants.
2. Unitholders are advised to read the Scheme Information Document of the respective scheme(s) and Statement of Additional Information carefully.
3. Existing unitholders are required to submit only the SWP registration form duly completed. Unitholders name should match with the details in the existing folio number, failing which the registration form is liable to be rejected. New unitholders who wish to register for SWP are required to fill the SWP registration form along with the Scheme Application Form.
4. The AMC reserves the right to change / modify the terms and conditions of SWP.
5. The provision for 'Minimum Redemption Amount' specified if any, in the respective Scheme Information Document will not be applicable for SWP.
6. In order to protect the interest of unitholders from fraudulent encashment of redemption cheques, SEBI has made it mandatory for Unitholders to provide their bank details viz. name of bank, branch, address, account type and number, etc. to the Mutual Fund. Unitholders should note that redemption / withdrawal proceeds under the SWP will be paid by forwarding a cheque or by directly crediting the Bank Account registered in the Scheme on the date of each withdrawal. In case an unitholder wishes to change the Bank Account/address, the unitholder may fill up the change in the bank/ Address form.
7. Unitholders must use separate 'SWP' enrolment forms for different Scheme / Plan / Option.
8. **Withdrawal of SWP:** Investor wish to withdraw the SWP facility by giving the letter in writing clearly specifying the details of the scheme and folio no., atleast 3 days prior to the next SWP date.
9. **Termination of SWP:**  
SWP will automatically get terminated under the following conditions.
  - (a) The unitholding under the scheme becomes null.
  - (b) In the case of the death of the first unitholder.
10. The unit holder should submit the duly filled in SWP Enrolment Form at least one day before the first withdrawal date. The Activation of SWP will be subject to clear funds in scheme.
11. Systematic Withdrawal Plan (SWP) is available in the Dividend / Growth Option of the following schemes.

Scheme	Category	Scheme	Category
Banking & PSU Debt Fund	Debt	Value Opportunities Fund	Equity
Bond Fund	Debt	Healthcare Fund	Equity
Credit Risk Fund	Debt	Core Equity Fund	Equity
Short Term Income Fund	Debt	Transportation And Logistics Fund	Equity
Ultra Short Term Fund	Debt	Nifty Next 50 Index Fund	Equity
Money Market Fund	Debt	India Lifestyle Fund	Equity
Treasury Advantage Fund	Debt	Regular Savings Fund	Hybrid
Corporate Bond Fund	Debt	Hybrid Equity Fund	Hybrid
Dynamic Bond Fund	Debt	Multi Asset Fund	Hybrid
Floater Fund	Debt	Equity Savings Fund	Hybrid
Banking & Financial Services Fund	Equity	Arbitrage Fund	Hybrid
Dividend Yield Fund	Equity	Overnight Fund	Liquid
Equity Fund	Equity	Liquid Cash Plan	Liquid
Infrastructure Fund	Equity	Children's Career Fund ( CCF) Investment Plan	Solutions Oriented
Mastershare Unit Scheme	Equity	Children's Career Fund ( CCF) Savings Plan	Solutions Oriented
Mid Cap Fund	Equity	Retirement Benefit Pension Fund	Solutions Oriented
MNC Fund	Equity	Unit Linked Insurance Plan	Solutions Oriented
Nifty Index Fund	Equity	Long Term Equity Fund (Tax Saving)	Elss

The above list is subject to change from time to time. Please contact the nearest UTI Financial Centre for updated list.

12. **SWP Date:** as SWP date. In case of the date falls on a holiday/book closure period, the next day will be considered.
13. **Redemption of Units:** Based on the option opted by investor appropriate no. of units equivalent to the amount specified by the investor will be redeemed on **FIFO basis** (First in First Out).
14. Redemption of units under SWP will be at NAV after deducting the applicable exit load, TDS etc. for respective scheme.
15. The Unit holder needs to specify the start date and the end date in the SWP form. In cases where the start date and end date has not been specified, the SWP will commence from the first transaction date of the subsequent month in which such request is received and will continue till the balance in the account becomes nil.
16. Investors who have made investments in solutions oriented schemes / ELSS schemes and ULIP should note that SWP is not available to them during the stipulated lock in period i.e solutions oriented schemes - 5 Years / ELSS schemes - 3 Years / ULIP on till maturity.
17. SWP amount to be released primary bank account of investor for registered folio.

If undelivered, please return to:

**Karvy Fintech Private Limited**  
 Unit : UTI Mutual Fund  
 Karvy Selenium Tower B, Plot No. 31 & 32,  
 Financial District, Nanakramguda,  
 Serilingampally Mandal, Hyderabad - 500032, India.  
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