

SPECIAL FEATURES FORM - SWITCH ON ARBITRAGE AND GAIN SWITCH MECHANISM

Please read Product Labelling available on the Front Inside Cover Page and instructions before filling this form (all points marked * are mandatory)



Edelweiss
Ideas create, values protect

MUTUAL FUND

APPLICATION NO.

Sponsor: Edelweiss Financial Services Limited | **Trustee Company:** Edelweiss Trusteeship Company Limited | **Investment Manager:** Edelweiss Asset Management Limited
Edelweiss Mutual Fund, 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400098, Maharashtra.

1 DISTRIBUTOR INFORMATION					
Distributor Code	Sub-Broker Code	Sub-Broker Code	Employee Unique	E-Code	RIA CODE^
	ARN -	INTERNAL CODE	IDENTIFICATION NO. (EUIN)		ONLY FOR DIRECT INVESTMENT

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

^I/We, have invested in the below mentioned scheme of Edelweiss Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

SIGNATURE (s)		
SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

All sections to be filled in English and in BLOCK LETTERS. Use this form if you are making a one time investment. For SIP investment use the separate SIP Form. All columns marked * are mandatory.

2 APPLICANT'S PERSONAL DETAILS* (New Investors are required to fill the Common Application Form)	
Folio No. (For Existing Unit Holders)	
Sole / 1st Unit Holder	
PAN	Date of Birth D D M M Y Y Y Y Mobile No.
Aadhaar No.	CKYC No.

SWITCH ON ARBITRAGE INVESTMENT DETAILS [Please ✓]	
Edelweiss Liquid Fund Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct Option : <input type="checkbox"/> Growth^^ <input type="checkbox"/> Dividend Payout	Amount (in figure & words) : _____
On the occurrence of trigger the entire unit balance will be switched to Edelweiss Arbitrage Fund	<input type="checkbox"/> Regular Plan <input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Monthly Dividend <input type="checkbox"/> Direct Plan <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Sweep
Dividend Sweep to Scheme : _____	Plan : _____ Option : _____

^^Default Option | Trigger for switch from Source Scheme will be subject to exit load and statutory levy, if any.

OR

GAIN SWITCH MECHANISM INVESTMENT DETAILS [Please ✓]		
Source Schemes <input type="checkbox"/> Edelweiss Large Cap Fund <input type="checkbox"/> Edelweiss Mid Cap Fund <input type="checkbox"/> Edelweiss Aggressive Hybrid Fund <input type="checkbox"/> Edelweiss Large & Mid Cap Fund <input type="checkbox"/> Edelweiss Multi-Cap Fund Invest Amount : _____ ₹.25,000 & Above Invest in (Gross Amount) Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct Option : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout	Switch the Gains* if NAV increases by <input type="checkbox"/> One Time <input type="checkbox"/> Perpetual <input type="checkbox"/> 5% <input type="checkbox"/> 10% <input type="checkbox"/> 25% <input type="checkbox"/> 50% <input type="checkbox"/> 75% <input type="checkbox"/> 100% <input type="checkbox"/> Regular Plan <input type="checkbox"/> Direct Plan <input type="checkbox"/> Growth <input type="checkbox"/> Bonus <input type="checkbox"/> Dividend <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	Target Schemes <input type="checkbox"/> Edelweiss Balanced Advantage Fund <input type="checkbox"/> Edelweiss Arbitrage Fund <input type="checkbox"/> Edelweiss Liquid Fund <input type="checkbox"/> Edelweiss Equity Savings Fund <input type="checkbox"/> Edelweiss Low Duration Fund <input type="checkbox"/> Edelweiss Short Term Fund Dividend Sweep to Scheme _____ Plan _____ Option _____

Source and Target Schemes cannot be same

3 DECLARATION AND SIGNATURE(S)	
Having read and understood the contents of Statement of Additional Information (SAI), Scheme Information Document (SID) of the Scheme(s), I/We hereby apply to the Trustees of Edelweiss Mutual Fund for units of Scheme(s) of Edelweiss Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme (s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we have not received and will not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the Scheme(s). I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs/Flis only: I/We confirm that I am/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident External Account/FCNR account/NRO/NRSR Account. (Please ✓) (Including amount of transactions made in future) <input type="checkbox"/> Repatriation <input type="checkbox"/> Non Repatriation	

SIGNATURE (s)		
SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

DATE : ___/___/___ PLACE : _____

TERMS AND CONDITIONS

Switch on Arbitrage Terms and Conditions:

1. Make an initial investment in Edelweiss Liquid Fund with minimum amount of ₹10,000/-
2. Investors can opt for this facility at the time of investment or anytime later. The entire amount invested will be switched to Edelweiss Arbitrage Fund on activation of trigger.
3. Automatic switch from Edelweiss Liquid Fund to Edelweiss Arbitrage Fund will be triggered on the Tuesday immediately preceding the expiry day of the Futures and Options i.e. on the last Thursday of every month. (In case Tuesday/Thursday is a holiday, switch/expiry would happen on the preceding working day.
4. Switching in to Edelweiss Arbitrage Fund would enable investors to take benefit of the arbitrage opportunities available in the equity market close to expiry date.

Gain Switch Mechanism Terms & Conditions :

FAQ's on GSM Facility

1. What is GSM?

GSM is an event based Trigger Facility that serves as a financial planning tool. The Unit holder can specify any of the specific events provided in advance and when this event takes place the trigger will get activated.

2. How is GSM useful in financial planning?

GSM facility helps the Unit holder to switch the capital appreciation amount from source schemes to target schemes. Investor can choose to switch whenever the capital appreciates by 5%/10%/25% / 50%/75%/100%.

3. What are the different kinds of trigger points available to the Unit holders under GSM?

Unit holders have the following trigger points to choose from: Capital appreciation of 5%/10%/25%/50%/75%/100% can be switched perpetually or as a one time switch.

4. How many Schemes can be selected as the Target Schemes for switch in?

Only one scheme can be selected as target scheme.

5. Under which Schemes is GSM available?

Currently GSM is available only under the Growth and Dividend Payout Option of Existing and Direct Plan of the Source Schemes i.e. Edelweiss Large Cap Fund, Edelweiss Mid Cap Fund, Edelweiss Aggressive Hybrid Fund, Edelweiss Large & Mid Cap Fund, Edelweiss Multi-Cap Fund from where the switch out would happen when the trigger is activated to the Target Schemes viz. Edelweiss Balanced Advantage Fund, Edelweiss Arbitrage Fund, Edelweiss Liquid Fund, Edelweiss Equity Savings Fund, Edelweiss Low Duration Fund, Edelweiss Short Term Fund

6. Is there any default option in case the Unit holder fails to give his option(s) of Target Schemes and/or % of switch?

Investors are requested to note that the default Target Scheme shall be Edelweiss Arbitrage Fund, the default option for % switch shall be 10% of capital appreciation and the same shall be switched perpetually.

7. What is the minimum investment amount for opting for GSM?

GSM is available only for investments under the Growth and Dividend Payout Option of Regular and Direct Plan of Edelweiss Large Cap Fund, Edelweiss Mid Cap Fund, Edelweiss Aggressive Hybrid Fund, Edelweiss Large & Mid Cap Fund and Edelweiss Multi-Cap Fund with transaction amount of ₹ 25,000/- and above

8. How long will the Switch continue in GSM?

Capital appreciation of 5%/10%/25%/50%/75%/100% as selected by the investor is switched every time the trigger is activated. If the investor has opted for one time switch, the switch would get effected only once and in case the investor is opted for perpetual switch, the switch into the target scheme would continue till the trigger is discontinued.

9. How will the perpetual GSM work?

If the investor has an investment of ₹50,000/- and registered for GSM when the NAV is @ of ₹20.00 per unit and has opted for switching of capital appreciation of 25% perpetually into Edelweiss Arbitrage Fund, the capital appreciation switches will happen as per the below illustration:

Amount invested in the Source Scheme (₹)	NAV (₹)	Units	Value (₹)	Capital Appreciation (%)	Capital Appreciation Switch out (%)	Amount of Switch (₹)	Units switched out to Target Scheme
50000	20.00	2,500.000	50,000.00	-	-	-	-
GSM Registered	20.00	-	-	-	25%	-	-
	23.00	2,500.000	57,500.00	15.00%	25%	NA	-
	24.89	2,500.000	62,225.00	24.45%	25%	NA	-
GSM - 1st trigger	25.05	2,500.000	62,625.00	25.25%	25%	12,500.00	499.002
	24.99	2,000.998	50,004.94	-0.24%	25%	NA	-
	26.79	2,000.998	53,606.74	6.95%	25%	NA	-
	30.45	2,000.998	60,930.39	21.56%	25%	NA	-
GSM - 2nd trigger	32.45	2,000.998	64,932.39	29.54%	25%	12,531.25	386.171
	33.90	1,614.827	54,742.63	4.47%	25%	NA	-
	35.00	1,614.827	56,518.94	7.86%	25%	NA	-
	39.45	1,614.827	63,704.92	21.57%	25%	NA	-
GSM - 3rd trigger	41.05	1,614.827	66,288.65	26.50%	25%	13,100.28	319.130
	41.09	1,295.697	53,240.19	0.10%	25%	NA	-

11. How is the capital appreciation derived?

As shown in the illustration in pt. 10, the first capital appreciation is derived from the NAV of the day on which the investor has registered for GSM facility. Subsequent capital appreciation would be calculated based on the NAV on which the earlier capital appreciation switch took place. In the above illustration the base NAV for subsequent capital appreciation is ₹25.05.

12. Can a GSM be discontinued?

Yes, the GSM can be discontinued by submitting a duly signed request letter. Ten(10) business days time is required to discontinue the GSM.

13. When will the GSM get activated?

The capital appreciation switch would be activated/ triggered only if the investment is greater than one year OR post completion of exit load whichever is later.

14. Can a Unit holder apply for multiple transactions in one GSM Form?

No. Please use separate GSM Form for each transaction.

15. What happens if the capital appreciation on a given date is more than the capital appreciation opted for?

As per the illustration shared in Pt. 10, irrespective of the capital appreciation, only the % of cap appreciation opted for would be considered for switch.

16. Where is the GSM Form available?

GSM Form is available at all designated Investor Service Centers of Edelweiss Mutual Fund ("the Fund") as well as the website of the Fund www.edelweissmf.com.

17. How is the Unit holder informed about the execution of GSM?

The Unit holder is informed about the execution and the subsequent transactions through the E-mail account statements, if they have provided their email id in the Application Form, else the same would be communicated through the Common Account Statement.



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IQ to 5757590



WEBSITE
www.edelweissmf.com



EMAIL : INVESTORS
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Mutual Fund Investment are subject to market risks, read all scheme related documents carefully.

TERMS AND CONDITIONS

1. For availing the GSM Facility an investor has to invest a lump sum amount of at least ₹ 25,000/- under the Growth or Dividend Payout Option of the selected Source Scheme and provide a standing instruction to the Fund to switch a pre-determined percentage of Capital Appreciation into the selected Target Scheme;
Source Schemes: Edelweiss Large Cap Fund, Edelweiss Mid Cap Fund, Edelweiss Aggressive Hybrid Fund, Edelweiss Large & Mid Cap Fund, Edelweiss Multi-Cap Fund
Target Schemes: Edelweiss Balanced Advantage Fund, Edelweiss Arbitrage Fund, Edelweiss Liquid Fund, Edelweiss Equity Savings Fund, Edelweiss Low Duration Fund, Edelweiss Short Term Fund
Capital Appreciation: Capital Appreciation will be a percentage of appreciation in the Net Asset Value (NAV) of the Source Scheme as calculated from the date of registration for GSM Facility under such Source Scheme and subsequently calculated based on the NAV at which the previous switch under the GSM Facility was processed;
2. Investor has to submit a duly completed 'GSM Application Form' for availing this Facility. Separate 'GSM Application Forms' have to be submitted for multiple transactions. First time/new investors have to submit duly completed 'GSM Application Form' along with the 'Common Application Form';
3. The AMC/Fund shall activate the GSM Facility within 7 working days from the date of submission of the GSM Application Form;
4. Investors can either opt for a One-time Switch of the predetermined percentage of Capital Appreciation or opt for a Perpetual Switch which will continue till all the units in the Source Scheme are exhausted;
5. Investors will have an option to select from the range of percentages of Capital Appreciation ranging from 5% / 10% / 25% / 50% / 75% / 100%;
6. Default Options: If the investor does not :
 - i. select the Target Scheme, the default Target Scheme shall be Edelweiss Arbitrage Fund;
 - ii. specify the frequency for GSM Facility, the default frequency shall be Perpetual Switch; and
 - iii. specify the percentage of Capital Appreciation, the default percentage shall be 10%;
7. The GSM Facility would be triggered on the occurrence of the event only after completion of one year from the date of allotment of units under the Source Scheme OR after completion of the exit load period, as applicable to the Source Scheme, whichever is later;
8. The NAV at which the percentage of Capital Appreciation will be calculated will be based on the NAV of the Source Scheme as calculated from the date of registration for GSM Facility under such Source Scheme. Subsequent Capital Appreciations shall be calculated based on the NAV at which the previous switch under the GSM Facility was processed from the Source Scheme.
9. Any request for changing the options under the GSM Facility or for discontinuing the GSM Facility shall be processed within 10 working days from the date of submission of such request;
10. The GSM Facility will be automatically terminated if all units under the Source Scheme are liquidated or withdrawn or pledged or upon receipt of intimation of death of the unit holder;
11. Switch-out' shall be treated as application for Redemption and 'Switch-in' shall be treated as application for Purchase. Accordingly, the provisions of the Applicable NAV and cut-off time as specified in the Scheme Information Document (SID) of the respective Scheme shall be applicable for such transaction.