Third Party Payment Declaration Form

YES MUTUAL FUND

ARN-4464 E032737 VIKRAM S. BAGADTHEY

Instructions: All details are mandatory, including relationship, PAN and KYC. Please read scheme related documents, KIM, Instructions details on Third Party payment guidelines before investing and filling this form. The forms should be filled in English. Please tick relevant boxes where applicable.

BENEFICIAL INVESTOR, APPLICATION DETAILS

Folio No. ☐☐☐☐☐☐☐☐☐☐ Application Form No. ☐☐☐☐☐☐☐☐☐☐

Beneficial Investor Name (First / Sole Investor/Minor) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

THIRD PARTY INFORMATION AND RELATIONSHIP WITH INVESTOR (BENEFICIAL OWNER)

Name of Third Party making payment ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

PAN details and KYC (Mandatory for any amount) ☐☐☐☐☐☐☐☐☐☐ ☐ KYC Acknowledgement of Third Party attached.

Contact Details: Mobile ☐☐☐☐☐☐☐☐☐☐ Tel. No. ☐☐☐☐☐☐☐☐☐☐

E-mail ID: ☐☐

Beneficial Investor status (Please ✓ one as applicable)	☐ Minor (upto Rs. 50,000/- only) (for each SIP/lumpsum)	☐ FII ☐ Client	☐ Employee/s
Relationship with Investor	☐ Parent ☐ Grand Parent ☐ Relative _____	☐ Custodian: SEBI Regn No. Validity till: D D M M Y Y Y Y	Employer
Declaration	I hereby declare and confirm the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only.	We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/ Investor. The source of this payment is from funds provided to us by the Applicant/Investor.	We confirm that the investment/s is/are on behalf of our employee/s and payment/s is/are towards Systematic Investment plan/ or Lumpsum or one time through the payroll deduction.

PAYMENT DETAILS

Investment Amount in Rs. ☐☐☐☐☐☐☐☐ Payment Mode: ☐ Cheque ☐ Demand Draft/Pay Order ☐ Funds Transfer ☐ RTGS/NEFT

Payment Mode: ☐☐☐☐☐☐☐☐ Dated D D M M Y Y Y Y

Payment from A/c No: ☐☐☐☐☐☐☐☐ A/c Type ☐☐☐☐☐☐☐☐

Payment from Bank Branch ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Mandatory Documents (based on payment mode):

- ☐ Cheque: Account number and account holder name should be printed on the cheque. Else a copy of the bank passbook / bank statement account / bank letter certifying the third party account holder and account number.
- ☐ Demand Draft: Issuing Banker certificate/DD counterfoil mentioning Bank Account Holder's Name and Bank Account Number debited for issue of the demand draft.
- ☐ Funds Transfer/RTGS/NEFT: Instruction copy to the Bank stating the Bank Account Number used for payment

DECLARATION

Third Party: Payment Declaration

I/We confirm having read and understood the Third Party Payment rules and guidelines. I/We declare that the information declared herein is true and correct. I agree to furnish such further information as the Fund may require from me/us and to assist the Fund to verify directly or indirectly the details. The Fund shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies. I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that the Fund or AMC or RTA may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

Third Party making Payment Signature

Beneficial Owner: Sole / First Investor/Applicant /Registered Guardian

I/We confirm having read and understood the Third Party Payment rules and guidelines. I/We certify that the information declared herein by the Third Party is true and correct and have no objection to the funds received from the Third Party. The Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid. The Fund or the AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction

Sole / First Investor/Applicant / Registered Guardian Signature