



**Investment Manager: ICICI Prudential Asset Management Company Limited**

**Regd. Office:** 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi 110 001.

**Corporate Office:** 13th Floor, A-Wing, ONE BKC, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051

**Tel:** (91) (022) 26428000, **Fax:** (022) 2655 4165.

**Central Service Office:** 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. **Tel:** (91) (22) 26852000, **Fax:** (91)(22) 2686 8313.

## Third Party Payment Declaration

(This should be enclosed with each payment/SIP Enrolment)

Folio No. <input type="text"/>	Application No. <input type="text"/>	PAN <input type="text"/>
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(For existing unitholders only)

KYC Acknowledgement :  Attached

### Beneficial Investor

Mr.	Ms.	<input type="text"/>
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### Third Party Information and Relationship with Investor

Name of Third Party making Payment	<input type="text"/>
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### Contact Details

Mobile   <input type="text"/>	Tel (Residence/Office)   <input type="text"/>	Email   <input type="text"/>
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### Relationship of Third Party with the Beneficial Investor (Refer Instruction No. 3)

Status of the Beneficial Investor	<input type="checkbox"/> Minor (Applicable for ICICI Prudential Child Care Fund)	<input type="checkbox"/> FII/FPI <input type="checkbox"/> Client	<input type="checkbox"/> Employee(s)	<input type="checkbox"/> Agent/Distributor/Dealer
Relationship of Third Party with the Beneficial Investor	<input type="checkbox"/> Father/Mother/Court appointed Legal Guardian other than Registered guardian (Please attach proof of relationship, if not already submitted@) <input type="checkbox"/> Guardian Parent <input type="checkbox"/> Related Person (Please specify relationship _____)	Custodian - SEBI Registration No. of Custodian _____ Registration Valid Till _____	Employer	Principal
Declaration by Third Party	<input type="checkbox"/> I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	<input type="checkbox"/> I/We declare that the payment is made on behalf of FII/FPI/Client as Custodian to the Applicant/Investor and the source of this payment is from funds provided to us by FII/FPI/Client	<input type="checkbox"/> I/We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans or as lumpsum/one-time subscription, through Payroll.	<input type="checkbox"/> I/We declare that the payment is made on behalf of Agent/ Distributor/Dealer under Systematic Investment Plans or as lumpsum/one-time subscription, in lieu of commission or incentive payable for sale of goods/services.

### Third Party Payment Details (Refer Instruction No. 2)

Investment Mode				
Payment Mode	<input type="checkbox"/> Cheque <input type="checkbox"/> Fund Transfer <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Demand Draft/Pay Order			
Payment Cheque / UTR No. /Instrument No.				Date: <input type="text"/>
Payment from Bank				Branch: <input type="text"/>
Payment from A/c No.				
Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____ (please specify)			

### Third Party Payment Declaration & Signature(s)

I/We confirm having read and understood the Third Party Payment rules and guidelines. I/We declare that the information declared herein is true and correct. I agree to furnish such further information as the Fund may require from me/us and to assist the Fund to verify directly or indirectly the details. The Fund shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies. I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that the Fund or AMC or RTA may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

SIGNATURE OF  
THIRD PARTY MAKING PAYMENT

### Signature(s) of Beneficial Owner(s)

**Beneficial Owner: Sole / First Investor/Applicant /Registered Guardian:** I/We confirm having read and understood the Third Party Payment rules and guidelines. I/We certify that the information declared herein by the Third Party is true and correct and have no objection to the funds received from the Third Party. The Fund reserves the right in its sole discretion to reject/ not process the Application Form and refund the payment received from the aforesaid. The Fund or the AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. **If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

SIGNATURE OF SOLE / FIRST  
APPLICANT

SIGNATURE OF  
SECOND APPLICANT

SIGNATURE OF  
THIRD APPLICANT

## INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM

### 1. General Instruction:

- All details are mandatory, including relationship, PAN and KYC. Please read scheme related documents, KIM, Instructions details on Third Party payment guidelines before investing and filling this form. The forms should be filled in English. Please tick relevant boxes where applicable.
- Third party cheque(s) for this purpose are defined as: (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

### 2. Mandatory Enclosure(s)\*

**Cheque:** In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.

**Demand Draft/ Pay Order:** Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account Number debited for issue of the instrument or Copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of third party as an account holder are available or Copy of the passbook/bank statement evidencing the debit for issuance of the instrument.

**Fund Transfer/ RTGS / NEFT:** Copy of the instruction to the Bank stating the Bank Account Number which has been debited.

**In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:**

- (i) If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such prefunded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
- (ii) If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

### 3. Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- (i) Payment on behalf minor in consideration of natural love and affection or as a gift will be only applicable for ICICI Prudential child care Fund (Gift Plan).
- (ii) Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
- (iii) Custodian on behalf of a Foreign Institutional Investor (FII) or a client.
- (iv) Payment made by the AMC to a Distributor empanelled with it on account of commission, incentive, etc. in the form of the Mutual Fund units of the Schemes managed by such AMC through SIP or lump sum/one time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- (v) Payment made by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal-agent relationship) account of commission or incentive payable for sale of its goods/services, in the form of Mutual Fund units of the Schemes managed by such AMC through SIP or lump sum/one time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

**The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:**

- (i) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- (ii) Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- (iii) Verifying the source of funds to ensure that funds have come from the drawer's account only.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.