

Third Party Payment Declaration Form should be completed in English and in Block Letters only.
 (Please read the Third Party Payment rules and Instructions carefully before completing this Form)

Declaration Form No. _____

FOR OFFICE USE ONLY

Date of Receipt

Folio No.

Bank Transaction No.

1. BENEFICIAL INVESTOR INFORMATION (Refer Instruction No. 2)

Folio No.	For Existing Investors	For New Investors
Name	Beneficial Investor	

2. THIRD PARTY INFORMATION (Refer Instruction No. 3)

Name of the Third Party	Person Making the Payment												
Nationality		PAN										KRA	<input type="checkbox"/> [Please tick] Proof Attached (Mandatory for any amount (Refer instruction No. 6 & 8.))
CKYC Number							Aadhaar Card Number						
Name of the Contact Person	In case of Non-individual Third Party												
Designation													
Mailing Address (P.O. box address is not sufficient)													
CITY						State					Pin Code		

OVERSEAS ADDRESS (Mandatory in case of NRIs/FIIs/PIOs) (P. O. Box Address is not be sufficient)**CONTACT DETAILS OF FIRST / SOLE APPLICANT STD Code**

Mobile No.					Email ID			
Tel No. : STD Code		Res.		Office		Fax		

RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR (Refer Instruction No 3) [Please tick] (Mandatory for any amount)

Status of the Beneficial Investor	<input type="checkbox"/> Minor	<input type="checkbox"/> FII	<input type="checkbox"/> Client	Employee(s)								
Relationship of Third Party with the Beneficial Investor	<input type="checkbox"/> Parents <input type="checkbox"/> Grand Parents <input type="checkbox"/> Related Person Please specify _____ _____	Custodians SEBI Registration No. of Custodian Registration Valid Till <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>		D	D	M	M	Y	Y	Y	Y	Employer
D	D	M	M	Y	Y	Y	Y					
IT PAN												
KYC acknowledgment	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached								
Declaration by Third Party	Declaration by Parent/Grand-Parents / Related Persons Other than the Registered Guardian <input type="checkbox"/> I hereby declare and confirm that the minor stated above is the beneficial owner of the investment details mentioned above. I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only. Declaration by Guardian of Minor, as registered in the folio <input type="checkbox"/> I confirm that I am the legal guardian of the minor, registered in folio and have no objection to receiving these funds on behalf of the minor.		I/We declare that the payment made on behalf of FII/Client and the source of this payment is from funds provided to us by FII/Client.	I/We declare that the payment made on behalf of employee(s) under Systematic Investment Plans or as lump sum / one-time subscription, through Payroll Deductions.								

3. THIRD PARTY PAYMENT DETAILS (Refer Instruction No. 4)

Mode of Payment [Please tick]	Mandatory Enclosure(s)*
Cheque <input type="checkbox"/>	In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Banker's Cheque <input type="checkbox"/>	Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account Number debited for issue of the instrument or Copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the third party as an account holder are available or Copy of the passbook/bank statement evidencing the debit for issuance of the instrument.
RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Fund Transfer <input type="checkbox"/>	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

*PPFAS Mutual Fund / PPFAS Asset Management Private Limited ("PPFAS AMC") reserves the right to seek information and/or obtain such other additional documents/ information from the Third Party for establishing the identity of the Third Party.

Amount (in figures)*														
Amount (in words)*														
Cheque/DD/PO/UTR No.						Cheque/DD/PO/RTGS Date	D	D	M	M	Y	Y	Y	Y
Pay-in Bank Ac No.						Name of the Bank								
Branch						City								
Account Type	(Please tick)	<input type="checkbox"/> SB	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR	<input type="checkbox"/> Other	(please specify)						

* including demand draft charges, if any

4. DECLARATIONS & SIGNATURE/S [Refer Instruction 5]

THIRD PARTY DECLARATION

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which PPFAS Mutual Fund is entitled to verify directly or indirectly. I agree to furnish such further information as PPFAS Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, PPFAS Mutual Fund / PPFAS AMC is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/ or damage of whatsoever nature that PPFAS Mutual Fund / PPFAS AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

Applicable to NRIs only :

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account /FCNR Account.

Please (✓) Yes No

If yes, (✓) Repatriation basis

Non-repatriation basis

D	D	M	M	Y	Y	Y	Y
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Signature of the Third Party

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BENEFICIAL INVESTOR(S) DECLARATION

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that PPFAS Mutual Fund reserves the right in its sole discretion to reject/ not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the beneficial investor(s) detailed in the Application Form. PPFAS Mutual Fund/ PPFAS AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of PPFAS Mutual Fund/ PPFAS AMC.

Applicable to Guardian receiving funds on behalf of Minor only :

I/We confirm that I/We are the guardian of the Minor registered in folio and have no objection to the funds received towards subscription of Units in this Scheme on behalf of the minor.

D	D	M	M	Y	Y	Y	Y
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SIGNATURES AS PER BANK RECORDS (MANDATORY)

Sole/1st Applicant/
Guardian Authorised Signatory

2nd Applicant/Guardian
Authorised Signatory

3rd Applicant/Guardian
Authorised Signatory

THIRD PARTY PAYMENT RULES

1. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions
- 2a. The following words and expressions shall have the meaning specified herein:
 - (a) **"Beneficial Investor"** is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
 - (b) **"Third Party"** means any person making payment towards subscription of Units in the name of the Beneficial Investor.
 - (c) **"Third Party payment"** is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

Illustrations

Illustration 1: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

Illustration 2: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

Illustration 3: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.
- 2b. PPFAS Mutual Fund/ PPFAS Asset Management Private Limited ('PPFAS AMC') will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
 - (i) Payment by Parents/Grand-Parents/Related Persons* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs 50,000/- for each regular Purchase or per SIP installment. However, this restriction of Rs. 50,000/- will not be applicable for payment made by a Guardian whose name is registered in the records of Mutual Fund in that folio (i.e. father, mother or court appointed Legal Guardian).
 - (ii) Payment by Employer on behalf of employee under Systematic Investment Plans or as lump sum/one-time subscription, through Payroll deductions.
 - (iii) Custodian on behalf of an FII or a Client.

*'Related Person' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed/ refunded.
 - (i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
 - (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme of PPFAS Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of PPFAS AMC or visit our <http://amc.ppfas.com> for any information or updates on the same.