

ARN-4464 E032737 VIKRAM S. BAGADTHEY Date

D	D	M	M	Y	Y	Y	Y
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Name of Sole / First Holder									
Name of Joint Holder 2									
Name of Joint Holder 3									
Account No.	Subscription Transaction (Date on which request being preferred) <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Scheme/Plan/Option									
E-mail									

I/We wish to opt for/revise Trigger / Alert option as indicated here below.

Please note the following:

- Under Trigger option, action selected in Section B will be carried out when criteria specified in Section A is reached, on any business day as defined in the Scheme Information Document (SID).
- Under Alert Option, only a notification vide an e-mail will be sent when criteria specified in Section A is reached.
- Request for all Triggers will be carried out only after expiry of the relevant scheme specific investment lock-in period/s.
- Only one Trigger can be selected per transaction for a Scheme, Plan & Option. A Trigger/Alert once actioned upon will stand annulled.
- Kindly note that Trigger/Alert including any modifications thereto shall be activated only when the activation request is captured in the records of the Principal Asset Management Pvt. Ltd. (Formerly known as Principal Pnb Asset Management Company Private Limited).
- Trigger/Alert will be considered only in respect of units held.
- In case of switch into another Scheme of Principal Mutual Fund pursuant to election of a Trigger option, the same shall be subject to the terms/ features, including load structure etc., of such other elected Scheme.
- Trigger/Alert option is not available under asset allocation programme.

SECTION A

Trigger / Alert Type Please (✓) <input type="checkbox"/> Trigger <input type="checkbox"/> Alert (Any one)	Details	Percentage			
		Rise # <input checked="" type="checkbox"/>	Fall # <input type="checkbox"/>	Rise or Fall # <input checked="" type="checkbox"/>	Fall # <input type="checkbox"/>
1. <input type="checkbox"/> Investment Value	₹ <input type="text"/> eg. ₹ 11,000 Trigger/Alert will be activated when your investment amount increases from ₹ 10,000 to ₹ 11,000	<input type="text"/> % eg. 10%	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Rise
OR					Trigger/Alert will be activated when your investment amount appreciates by 10%
2. <input type="checkbox"/> Capital Appreciation	₹ <input type="text"/> eg. ₹ 1,000 Trigger/Alert will be activated when your capital of ₹ 10,000 appreciates by ₹ 1,000	<input type="text"/> % eg. 10%	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Rise
OR					Trigger/Alert will be activated when your initial capital appreciates by 10%
3. <input type="checkbox"/> NAV	₹ <input type="text"/> eg. ₹ 11 Trigger/Alert will be activated when the NAV of the selected Scheme/ Plan/ Option of your investment become <= ₹ 11	<input type="text"/> % eg. 10%	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Rise
OR					Trigger/Alert will be activated when the NAV appreciates by 10% over that of your date of selected subscription transaction.
4. <input type="checkbox"/> BSE Sensex	Points <input type="text"/> eg. Points 15,000 Trigger/Alert will be activated when the BSE Sensex reaches/ crosses 15000 points	<input type="text"/> % eg. 10%	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Rise or Fall
OR					Trigger/Alert will be activated when the BSE Sensex Rises or Falls 10% points over that of your date of selected subscription transaction.
5. <input type="checkbox"/> NSE NIFTY	₹ <input type="text"/> eg. ₹ 5,000 Trigger/Alert will be activated when the NSE Nifty reaches/ crosses 5,000 points	<input type="text"/> % eg. 10%	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Rise or Fall
OR					Trigger/Alert will be activated when the NSE Nifty Rises or Falls 10% points over that of your date of selected subscription transaction.
6. <input type="checkbox"/> On Date	DD MM YYYY <input type="text"/> eg. 08-11-2011 Trigger/Alert will be activated on 08-Nov-2011 or on the following business day if 08-11-2011 is a non-business day.				

The example (eg.) given above is based on initial investment of ₹ 10,000 at NAV of ₹ 10. #Rise, Fall & Rise or Fall is applicable only for percentage change. Trigger/Alert will be applicable from date of allotment of Units.

SECTION B (To be filled only in case of Trigger option)

Please (✓) any one

- Full Redemption OR
- Redemption of Gains only OR
- Full Switch into Scheme/Plan/Option OR
- Switch of only Gains into Scheme/Plan/Option OR
- Full Redemption: Original investment amount reinvested in Scheme/Plan/Option and gains paid OR
- Full Redemption: Original investment amount reinvested in Scheme/Plan/Option and investment amount paid OR
- Redeem No. of units OR
- Redeem Amount ₹

If only gains are to be redeemed or switched, then please specify whether the relevant Trigger opted should remain alive / continue until advised; please (3) Yes No

Declaration:

I/We confirm that I/we have read and understood the terms and conditions concerning Alert / Trigger as detailed in the scheme specific SID and further understand that the contents of this form shall be deemed to be part and parcel of the main Application Form and further that if the above triggers/alerts are not activated and/or implemented due to any reason whatsoever, I/We would not hold the AMC responsible. Further if necessary the AMC may at its discretion initiate and carry out any adjustments to correct any credit/payment/switch entries or otherwise, made erroneously to me/us. This authority shall remain in effect until revoked by me/us in writing.

I/We understand that the AMC reserves the right to amend/terminate this facility for Trigger/Alert at any point.

Signature of 1st Holder	Signature of 2nd Holder	Signature of 3rd Holder
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